

# Brompton Global Dividend Growth ETF

**TSX:BDIV**

## FUND PROFILE

AS AT MARCH 31, 2019

|                |               |
|----------------|---------------|
| TSX Symbol     | BDIV          |
| CUSIP          | 11221W109     |
| Inception Date | Oct. 17, 2018 |

|                                |              |
|--------------------------------|--------------|
| NAV                            | \$19.60      |
| Monthly Distribution           | \$0.10       |
| Distribution Rate <sup>1</sup> | 6.1%         |
| Total Assets                   | \$49 million |

|                  |                  |
|------------------|------------------|
| Management Fee   | 0.75% p.a.       |
| Currency         | Hedged to CAD    |
| Management Style | Actively Managed |

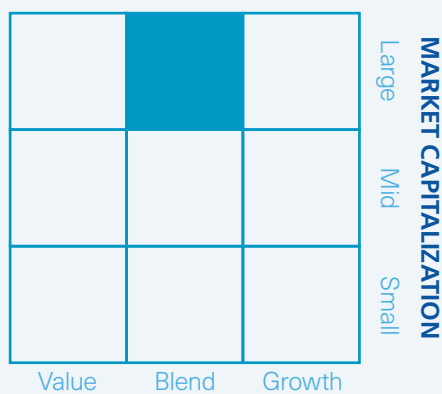
|            |                        |
|------------|------------------------|
| Manager/PM | Brompton Funds Limited |
| Auditor    | PWC                    |
| Custodian  | CIBC Mellon            |

|                                 |     |
|---------------------------------|-----|
| Registered Account Eligibility? | Yes |
|---------------------------------|-----|

## THIS ETF IS FOR INVESTORS WHO ARE:

- Seeking capital appreciation
- Seeking enhanced income and lower volatility through covered call strategies
- Comfortable with equity risk

## EQUITY STYLE BOX



## INVESTMENT APPROACH

**BROMPTON**  
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## Overview

This ETF provides high monthly distributions and the opportunity for capital appreciation through an investment in an actively managed portfolio of large-cap global dividend growth companies selected by Brompton, complemented by a proprietary covered call options program.

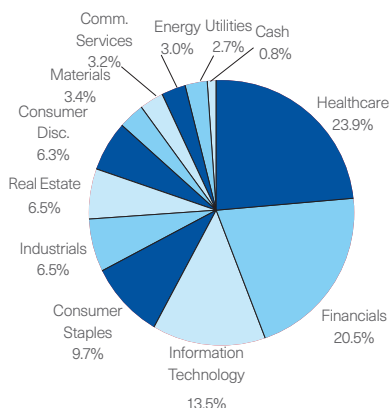
Our PM team first uses a top-down analysis to identify attractive sectors. Rigorous fundamental analysis focuses the portfolio on at least 20 Global Dividend Growth Companies with a market capitalization of at least \$10 billion, that have a history (or in the Manager's view a future likelihood) of dividend growth. The PMs then set the level of covered call writing (up to a maximum of 33%) with the goal of optimizing distributable cash and total returns, while reducing portfolio volatility.

## Investment Highlights: Global Dividend Growers

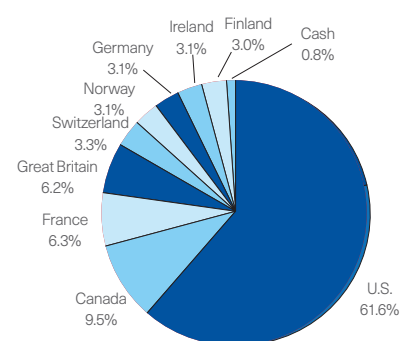
- Global Dividend Growth shares have outperformed the MSCI World Index over the past 15 years, with less risk<sup>3</sup>
- Opportunity to access a broader universe of high quality global companies that are expected to achieve strong earnings growth, leading to potential share price appreciation and increased dividends
- Global equities provide opportunity for Canadians to diversify in sectors that are underrepresented in Canada

## Portfolio: March 31, 2019

### Sector Allocation



### Geographic Allocation



### Top 10 Holdings

| Top 10 Holdings                   | % of Portfolio |
|-----------------------------------|----------------|
| American Tower Corporation        | 3.5%           |
| Apple Inc.                        | 3.5%           |
| Paychex, Inc.                     | 3.4%           |
| Cisco Systems, Inc.               | 3.4%           |
| Novartis AG                       | 3.3%           |
| PepsiCo, Inc.                     | 3.3%           |
| Waste Management, Inc.            | 3.3%           |
| Canadian National Railway Company | 3.2%           |
| Oracle Corporation                | 3.2%           |
| AstraZeneca PLC                   | 3.2%           |
| <b>Total</b>                      | <b>33.3%</b>   |

## Portfolio Manager and Manager:



**Laura Lau**  
**BASc (Hons), CFA, DMS**  
Senior Vice President and Senior  
Portfolio Manager



**Michael Clare**  
**BComm (Hons), CPA, CA, CFA**  
Vice President and Portfolio Manager

Brompton Funds, a division of Brompton Group which was founded in 2000, is an experienced investment fund manager with over \$2 billion in assets under management<sup>2</sup>. Brompton's investment solutions include TSX traded funds and mutual funds.

<sup>(1)</sup> Distribution rate is based on March 31, 2019 closing market price. Source: Thomson Reuters.

<sup>(2)</sup> Please visit [www.bromptongroup.com](http://www.bromptongroup.com) for further information.

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*Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. Please read the prospectus before investing.*

*Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.*

**BROMPTON**  
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**Investor Relations**  
PHONE 416.642.6000  
TOLL FREE 1.866.642.6001  
FAX 416.642.6001  
[info@bromptongroup.com](mailto:info@bromptongroup.com)  
[www.bromptonfunds.com](http://www.bromptonfunds.com)

**Address**  
Bay Wellington Tower,  
Brookfield Place  
181 Bay Street  
Suite 2930, Box 793  
Toronto, Ontario M5J 2T3