

Brompton Global Dividend Growth ETF

TSX:BDIV

FUND PROFILE

AS AT SEPTEMBER 30, 2019

TSX Symbol	BDIV
CUSIP	11221W109
Inception Date	Oct. 17, 2018

NAV	\$20.65
Monthly Distribution	\$0.10
Distribution Rate ¹	5.8%
Total Assets	\$67 million

Management Fee	0.75% p.a.
Currency	Hedged to CAD
Management Style	Actively Managed

Manager/PM	Brompton Funds Limited
Auditor	PWC
Custodian	CIBC Mellon

Registered Account Eligibility? Yes

Risk Rating Medium

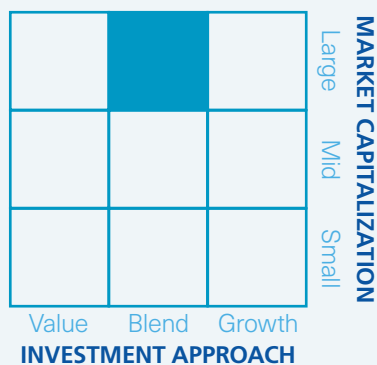
THIS ETF IS FOR INVESTORS WHO ARE:

- Seeking capital appreciation
- Seeking enhanced income and lower volatility through covered call strategies
- Comfortable with equity risk

ESG SCORE²

A-

EQUITY STYLE BOX



BROMPTON
EXCHANGE TRADED FUNDS

Overview

This ETF provides high monthly distributions and the opportunity for capital appreciation through an investment in an actively managed portfolio of large-cap global dividend growth companies selected by Brompton, complemented by a proprietary covered call options program.

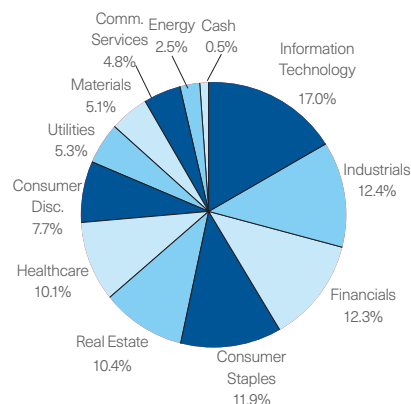
Our PM team first uses a top-down analysis to identify attractive sectors. Rigorous fundamental analysis focuses the portfolio on at least 20 Global Dividend Growth Companies with a market capitalization of at least \$10 billion, that have a history (or in the Manager's view a future likelihood) of dividend growth. The PMs then set the level of covered call writing (up to a maximum of 33%) with the goal of optimizing distributable cash and total returns, while reducing portfolio volatility.

Investment Highlights: Global Dividend Growers

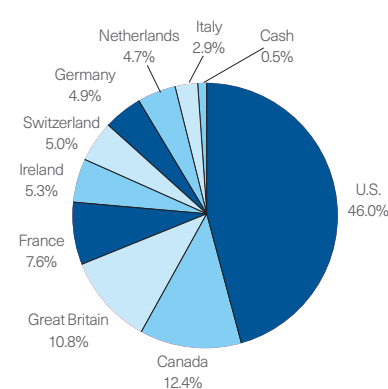
- Global Dividend Growth shares have outperformed the MSCI World Index over the past 15 years, with less risk³
- Opportunity to access a broader universe of high quality global companies that are expected to achieve strong earnings growth, leading to potential share price appreciation and increased dividends
- Global equities provide opportunity for Canadians to diversify in sectors that are underrepresented in Canada

Portfolio: September 30, 2019

Sector Allocation



Geographic Allocation



Top 10 Holdings

Top 10 Holdings	% of Portfolio
London Stock Exchange Group PL	3.2%
Enel Spa.	2.9%
AstraZeneca PLC	2.8%
Equinix Inc.	2.8%
Medtronic PLC	2.8%
Prologis Inc.	2.8%
Motorola Solutions Inc.	2.6%
Loblaw Companies Ltd	2.6%
Microsoft Corp	2.6%
Vince SA	2.6%
Total	27.7%

Portfolio Manager and Manager:



Laura Lau
BASc (Hons), CFA, DMS
Senior Vice President and Senior
Portfolio Manager



Michael Clare
BComm (Hons), CPA, CA, CFA
Vice President and Portfolio Manager

Brompton Funds, a division of Brompton Group which was founded in 2000, is an experienced investment fund manager with over \$2 billion in assets under management.⁴ Brompton's investment solutions include TSX listed closed-end funds and exchange-traded funds.

⁽¹⁾ Distribution rate is based on September 30, 2019 closing market price. Source: Thomson Reuters.

⁽²⁾ Source: Thomson Reuters as at September 30, 2019. Reflects the weighted average ESG Score of the companies held in the portfolio. The ESG Score is an overall score of a company based on the reported information in the environmental, social and corporate governance pillars. Letter grades range from D- for the lowest ESG scores to A+ for the highest scores. See https://www.refinitiv.com/content/dam/marketing/en_us/documents/methodology/esg-scores-methodology.pdf for more information.

⁽³⁾ Source: MSCI as at September 30, 2019; (September 30, 2004 – September 30, 2019).

⁽⁴⁾ Please visit www.bromptongroup.com for further information.

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Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. Please read the prospectus before investing. Exchange-traded funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.

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EXCHANGE TRADED FUNDS

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Investor Relations
PHONE 416.642.6000
TOLL FREE 1.866.642.6001
FAX 416.642.6001
info@bromptongroup.com
www.bromptonfunds.com

Address
Bay Wellington Tower,
Brookfield Place
181 Bay Street
Suite 2930, Box 793
Toronto, Ontario M5J 2T3