

# Brompton North American Financials Dividend ETF

**TSX:BFIN**

## FUND PROFILE

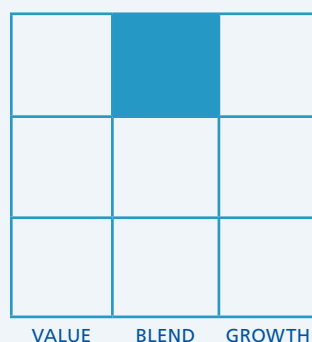
AS AT FEBRUARY 28, 2019

TSX Symbol	BFIN
CUSIP	11221X107
Inception Date	Oct. 17, 2018
<hr/>	
NAV	\$19.37
Monthly Distribution	\$0.08333
Distribution Rate <sup>1</sup>	5.1%
Total Assets	\$14 million
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Management Fee	0.75% p.a.
Currency	Hedged to CAD
Management Style	Actively Managed
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Manager/PM	Brompton Funds Limited
Auditor	PWC
Custodian	CIBC Mellon
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Registered Account Eligibility?	Yes

## THIS ETF IS FOR INVESTORS WHO ARE:

- Seeking capital appreciation
- Seeking enhanced income and lower volatility through covered call strategies
- Comfortable with equity risk

## EQUITY STYLE BOX



## INVESTMENT APPROACH

**BROMPTON**  
EXCHANGE TRADED FUNDS

## Overview

This ETF provides monthly distributions and the opportunity for capital gains through an investment in an actively managed portfolio of large-cap North American financial services companies selected by Brompton, complemented by a proprietary covered call program.

Our PM team first uses a top-down analysis to identify attractive sub-sectors. Rigorous fundamental analysis focuses the portfolio on at least 15 North American Financial Services Companies with a market capitalization of at least \$5 billion. The PMs then actively set the level of covered call writing (up to a maximum of 33%) with the goal of optimizing distributable cash and total returns, while reducing portfolio volatility.

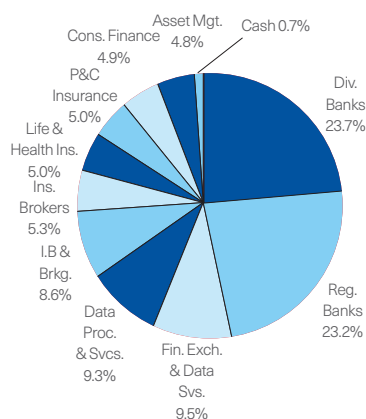
## Investment Highlights: Financial Sector

Why Invest In North American Financials?

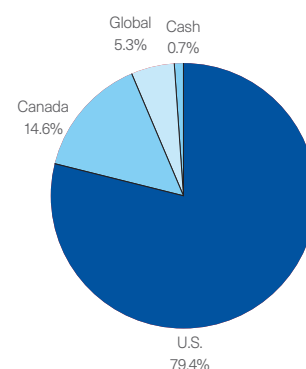
- Brompton believes North American Financial companies are attractively valued
- Brompton believes North American Financial companies have strong dividend growth potential
- Opportunity for Canadian investors to diversify their investment in financials

## Portfolio: February 28, 2019

### Sector Allocation



### Geographic Allocation



### Top 10 Holdings

Top 10 Holdings	% of Portfolio
First Republic Bank	5.4%
Aon plc	5.3%
Mastercard Incorporated	5.2%
S&P Global Inc.	5.2%
Comerica Incorporated	5.1%
Progressive Corporation (The)	5.0%
Sun Life Financial Inc.	5.0%
Bank of America Corporation	4.9%
Toronto-Dominion Bank (The)	4.9%
American Express Company	4.9%
<b>Total</b>	<b>50.9%</b>

## Portfolio Manager and Manager:



**Laura Lau**  
**BASc (Hons), CFA, DMS**  
Senior Vice President and Senior  
Portfolio Manager



**Michael Clare**  
**BComm (Hons), CPA, CA, CFA**  
Vice President and Portfolio Manager

Brompton Funds, a division of Brompton Group which was founded in 2000, is an experienced investment fund manager with over \$2 billion in assets under management<sup>2</sup>. Brompton's investment solutions include TSX traded funds and mutual funds.

<sup>(1)</sup> Distribution rate based on February 28, 2019 closing market price. Source: Thomson Reuters.

<sup>(2)</sup> Please visit [www.bromptongroup.com](http://www.bromptongroup.com) for further information.

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*Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded Fund investments. Please read the prospectus before investing.*

*Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.*

**BROMPTON**  
EXCHANGE TRADED FUNDS

VALUE  
INTEGRITY  
PERFORMANCE  
THE FOUNDATION FOR EXCELLENCE

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