

**Brompton North American Financials Dividend ETF**  
**Summary of Investment Portfolio as at April 30, 2019**

Total Net Asset Value \$ 19,806,649

**Portfolio Composition**

	<b>% of Portfolio</b>	<b>% of Net Asset Value</b>
<b>North American</b>		
<b>Canada</b>		
Diversified banks	9.3%	9.4%
Life & health insurance	5.2%	5.3%
<b>Total Canada</b>	14.5%	14.7%
<b>United States</b>		
Regional banks	21.2%	21.5%
Diversified banks	14.7%	14.9%
Data Processing & outsourced services	10.2%	10.4%
Financial exchanges & data	9.6%	9.7%
Investment banking & brokerage	9.1%	9.2%
Property & casualty insurance	5.2%	5.3%
Consumer finance	5.1%	5.2%
Asset management & custody banks	4.9%	4.9%
<b>Total United States</b>	80.0%	81.1%
<b>Total North American</b>	94.5%	95.8%
<b>Non-North American</b>		
Insurance brokers	5.1%	5.2%
<b>Total Non-North American</b>	5.1%	5.2%
Cash and short-term investments	0.4%	0.4%
Total investment portfolio	100.0%	101.4%
Other net liabilities		(1.4%)
<b>Total Net Asset Value</b>	100.0%	100.0%

**Holdings**

	<b>% of Portfolio</b>	<b>% of Net Asset Value</b>
Mastercard Incorporated	5.6%	5.7%
S&P Global Inc.	5.5%	5.5%
First Republic Bank	5.4%	5.4%
Sun Life Financial Inc.	5.2%	5.3%
Progressive Corporation (The)	5.2%	5.3%
Aon plc	5.1%	5.2%
American Express Company	5.1%	5.2%
PNC Financial Services Group Inc. (The)	5.0%	5.1%
JPMorgan Chase & Co.	5.0%	5.1%
Bank of America Corporation	5.0%	5.0%
Northern Trust Corporation	4.9%	4.9%
Morgan Stanley	4.8%	4.9%
Citigroup Inc.	4.8%	4.8%
Toronto-Dominion Bank (The)	4.7%	4.7%
Bank of Montreal	4.6%	4.7%
Broadridge Financial Solutions, Inc.	4.6%	4.7%
Comerica Incorporated	4.4%	4.5%
Goldman Sachs Group Inc. (The)	4.3%	4.3%
CME Group Inc.	4.1%	4.2%
BOK Financial Corporation	3.2%	3.3%
SVB Financial Group	3.1%	3.2%
Cash and short-term investments	0.4%	0.4%
<b>Total</b>	100.0%	101.4%

*The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at [www.bromptongroup.com](http://www.bromptongroup.com) within 60 days of each quarter end.*

