

Brompton North American Financials Dividend ETF
Summary of Investment Portfolio as at December 31, 2020

Total Net Asset Value \$ 29,156,304

Portfolio Composition	% of Portfolio	% of Net Asset Value
North American		
Canada		
Diversified banks	13.0%	12.8%
Life and health insurance	9.1%	9.1%
Asset management and custody banks	5.5%	5.5%
Total Canada	27.6%	27.4%
United States		
Diversified banks	17.4%	17.4%
Investment banking and brokerage	13.3%	13.2%
Data processing and outsourced services	11.3%	11.3%
Regional banks	10.0%	9.9%
Financial exchanges	8.4%	8.4%
Asset management and custody banks	2.8%	2.8%
Consumer finance	2.6%	2.5%
Multi-sector holdings	2.2%	2.2%
Total United States	68.0%	67.7%
Total North American	95.6%	95.1%
Non-North American		
Insurance brokers	2.7%	2.7%
Total Non-North American	2.7%	2.7%
Cash and short-term investments	1.7%	1.7%
Total investment portfolio	100.0%	99.5%
Other net assets		0.5%
Total Net Asset Value		100.0%

Holdings	% of Portfolio	% of Net Asset Value
Morgan Stanley	7.3%	7.2%
Bank of America Corp	7.1%	7.1%
Mastercard Inc	6.3%	6.3%
Citigroup Inc	6.3%	6.2%
S&P Global Inc	6.2%	6.2%
Goldman Sachs Group Inc	6.0%	6.0%
Brookfield Asset Management Inc	5.5%	5.5%
National Bank of Canada	5.4%	5.4%
Royal Bank of Canada	5.1%	5.0%
PNC Financial Services Group Inc	5.0%	5.0%
First Republic Bank	5.0%	5.0%
Sun Life Financial Inc	4.9%	4.9%
Manulife Financial Corp	4.2%	4.2%
JPMorgan Chase & Co	4.1%	4.0%
Broadridge Financial Solutions Inc	3.0%	3.0%
BlackRock Inc	2.8%	2.8%
Aon PLC	2.7%	2.7%
American Express Co	2.6%	2.5%
Toronto-Dominion Bank	2.4%	2.4%
Berkshire Hathaway Inc	2.2%	2.2%
CME Group Inc	2.2%	2.2%
Fidelity National Information Services Inc	2.0%	2.0%
Cash and short-term investments	1.7%	1.7%
Total	100.0%	99.5%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.

