

**Brompton North American Financials Dividend ETF**  
**Summary of Investment Portfolio as at June 30, 2020**

Total Net Asset Value \$ 24,523,889

<b>Portfolio Composition</b>	<b>% of Portfolio % of Net Asset Value</b>	
<b>North American</b>		
<b>Canada</b>		
Diversified banks	12.9%	13.1%
Life and health insurance	9.4%	9.6%
Asset management and custody banks	5.4%	5.5%
<b>Total Canada</b>	<b>27.7%</b>	<b>28.2%</b>
<b>United States</b>		
Diversified banks	17.9%	18.3%
Investment banking and brokerage	11.9%	12.1%
Data processing and outsourced services	11.8%	12.0%
Financial exchanges	10.1%	10.3%
Regional banks	8.8%	9.0%
Consumer finance	2.5%	2.5%
Multi-sector holdings	2.1%	2.1%
<b>Total United States</b>	<b>65.1%</b>	<b>66.3%</b>
<b>Total North American</b>	<b>92.8%</b>	<b>94.5%</b>
<b>Non-North American</b>		
Insurance brokers	3.1%	3.1%
<b>Total Non-North American</b>	<b>3.1%</b>	<b>3.1%</b>
Cash and short-term investments	4.1%	4.1%
Total investment portfolio	100.0%	101.7%
Other net liabilities		(1.7%)
<b>Total Net Asset Value</b>		<b>100.0%</b>

<b>Holdings</b>	<b>% of Portfolio % of Net Asset Value</b>	
S&P Global Inc	7.8%	8.0%
Bank of America Corp	6.9%	7.0%
Mastercard Inc	6.4%	6.5%
Citigroup Inc	6.4%	6.5%
Morgan Stanley	6.3%	6.5%
Goldman Sachs Group Inc	5.6%	5.7%
Brookfield Asset Management Inc	5.4%	5.5%
National Bank of Canada	5.4%	5.5%
Royal Bank of Canada	5.1%	5.2%
Sun Life Financial Inc	5.0%	5.1%
JPMorgan Chase & Co	4.5%	4.6%
First Republic Bank	4.4%	4.5%
Manulife Financial Corp	4.4%	4.5%
PNC Financial Services Group Inc	4.4%	4.4%
Cash and short-term investments	4.1%	4.1%
Broadridge Financial Solutions Inc	3.1%	3.1%
Aon PLC	3.1%	3.1%
American Express Co	2.5%	2.5%
CME Group Inc	2.4%	2.5%
Toronto-Dominion Bank	2.4%	2.4%
Fidelity National Information Services Inc	2.3%	2.4%
Berkshire Hathaway Inc	2.1%	2.1%
<b>Total</b>	<b>100.0%</b>	<b>101.7%</b>

*The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at [www.bromptongroup.com](http://www.bromptongroup.com) within 60 days of each quarter end.*

