

Brompton North American Financials Dividend ETF
Summary of Investment Portfolio as at March 31, 2020

Total Net Asset Value \$ 19,961,283

Portfolio Composition	% of Portfolio % of Net Asset Value	
North American		
Canada		
Diversified banks	13.4%	13.9%
Life and health insurance	9.8%	10.2%
Asset management and custody banks	5.6%	5.9%
Total Canada	28.8%	30.0%
United States		
Diversified banks	19.6%	20.4%
Data processing and outsourced services	11.2%	11.7%
Investment banking and brokerage	10.3%	10.7%
Regional banks	10.0%	10.4%
Financial exchanges	9.7%	10.0%
Consumer finance	2.6%	2.7%
Multi-sector holdings	2.5%	2.6%
Total United States	65.9%	68.5%
Total North American	94.7%	98.5%
Non-North American		
Insurance brokers	3.0%	3.2%
Total Non-North American	3.0%	3.2%
Cash and short-term investments	2.3%	2.3%
Total investment portfolio	100.0%	104.0%
Other net liabilities		(4.0%)
Total Net Asset Value		100.0%

Holdings	% of Portfolio % of Net Asset Value	
Bank of America Corp	7.2%	7.4%
S&P Global Inc	6.7%	6.9%
JPMorgan Chase & Co	6.2%	6.6%
Citigroup Inc	6.1%	6.4%
Mastercard Inc	6.1%	6.3%
Brookfield Asset Management Inc	5.6%	5.9%
Royal Bank of Canada	5.5%	5.7%
First Republic Bank	5.4%	5.6%
National Bank of Canada	5.3%	5.5%
Morgan Stanley	5.2%	5.4%
Sun Life Financial Inc	5.1%	5.3%
Goldman Sachs Group Inc	5.1%	5.3%
Manulife Financial Corp	4.7%	4.9%
PNC Financial Services Group Inc	4.6%	4.8%
AON PLC	3.0%	3.2%
CME Group Inc	3.0%	3.1%
Broadridge Financial Solutions Inc	2.7%	2.8%
Toronto-Dominion Bank	2.6%	2.7%
American Express Co	2.6%	2.7%
Berkshire Hathaway Inc	2.5%	2.6%
Fidelity National Information Services Inc	2.5%	2.6%
Cash and short-term investments	2.3%	2.3%
Total	100.0%	104.0%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.

