

Brompton North American Financials Dividend ETF
Summary of Investment Portfolio as at March 31, 2019

Total Net Asset Value \$ 18,319,770

Portfolio Composition

	% of Portfolio	% of Net Asset Value
North American		
Canada		
Diversified banks	9.7%	9.7%
Life & health insurance	5.3%	5.3%
Total Canada	15.0%	15.0%
United States		
Regional banks	21.2%	21.3%
Diversified banks	14.1%	14.3%
Data Processing & outsourced services	10.0%	10.1%
Financial exchanges & data	9.8%	9.9%
Investment banking & brokerage	8.9%	9.0%
Property & casualty insurance	5.2%	5.2%
Consumer finance	5.1%	5.2%
Asset management & custody banks	4.8%	4.9%
Total United States	79.1%	79.9%
Total North American	94.1%	94.9%
Non-North American		
Insurance brokers	5.3%	5.3%
Total Non-North American	5.3%	5.3%
Cash and short-term investments	0.6%	0.6%
Total investment portfolio	100.0%	100.8%
Other net liabilities		(0.8%)
Total Net Asset Value	100.0%	100.0%

Holdings

	% of Portfolio	% of Net Asset Value
Mastercard Incorporated	5.7%	5.7%
S&P Global Inc.	5.6%	5.7%
First Republic Bank	5.5%	5.6%
Aon plc	5.3%	5.3%
Sun Life Financial Inc.	5.3%	5.3%
Progressive Corporation (The)	5.2%	5.2%
American Express Company	5.1%	5.2%
Bank of America Corporation	4.9%	4.9%
Northern Trust Corporation	4.8%	4.9%
PNC Financial Services Group Inc. (The)	4.8%	4.9%
Toronto-Dominion Bank (The)	4.8%	4.9%
Bank of Montreal	4.8%	4.8%
JPMorgan Chase & Co.	4.8%	4.8%
Morgan Stanley	4.6%	4.6%
Citigroup Inc.	4.6%	4.6%
Comerica Incorporated	4.4%	4.5%
Broadridge Financial Solutions, Inc.	4.4%	4.4%
Goldman Sachs Group Inc. (The)	4.3%	4.4%
CME Group Inc.	4.2%	4.2%
BOK Financial Corporation	3.2%	3.2%
SVB Financial Group	3.1%	3.1%
Cash and short-term investments	0.6%	0.6%
Total	100.0%	100.8%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.

