

Brompton North American Financials Dividend ETF
Summary of Investment Portfolio as at March 31, 2021

Total Net Asset Value \$ 33,651,240

Portfolio Composition	% of Net Asset Value
North America	
Canada	
Diversified banks	13.2%
Asset management and custody banks	5.2%
Life and health insurance	4.5%
Total Canada	22.9%
United States	
Diversified banks	18.8%
Investment banking and brokerage	14.0%
Financial exchanges	10.7%
Data processing and outsourced services	9.9%
Regional banks	9.5%
Life and health insurance	4.9%
Consumer finance	2.6%
Asset management and custody banks	2.6%
Multi-sector holdings	2.1%
Property and casualty insurance	0.0%
Total United States	75.1%
Total North America	98.0%
Cash and short-term investments	2.0%
Total investment portfolio	100.0%
Other net liabilities	0.0%
Total Net Asset Value	100.0%

Holdings	% of Net Asset Value
Bank of America Corp	7.9%
Goldman Sachs Group Inc	6.6%
Citigroup Inc	6.5%
National Bank of Canada	5.6%
Mastercard Inc	5.5%
Brookfield Asset Management Inc	5.2%
First Republic Bank	5.0%
Royal Bank of Canada	5.0%
MetLife Inc	4.9%
Morgan Stanley	4.6%
Manulife Financial Corp	4.5%
S&P Global Inc	4.4%
JPMorgan Chase & Co	4.3%
Nasdaq Inc	4.2%
Raymond James Financial Inc	2.8%
Broadridge Financial Solutions Inc	2.7%
American Express Co	2.6%
BlackRock Inc	2.6%
Toronto-Dominion Bank	2.5%
Fifth Third Bancorp	2.4%
CME Group Inc	2.2%
Berkshire Hathaway Inc	2.1%
SVB Financial Group	2.1%
Cash and short-term investments	2.0%
Fidelity National Information Services Inc	1.8%
Total	100.0%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.