

**Brompton North American Financials Dividend ETF**  
**Summary of Investment Portfolio as at September 30, 2020**

Total Net Asset Value \$ 23,839,785

<b>Portfolio Composition</b>	<b>% of Portfolio % of Net Asset Value</b>	
<b>North American</b>		
<b>Canada</b>		
Diversified banks	13.1%	13.4%
Life and health insurance	9.7%	9.8%
Asset management and custody banks	5.2%	5.3%
<b>Total Canada</b>	<b>28.0%</b>	<b>28.5%</b>
<b>United States</b>		
Diversified banks	16.5%	16.7%
Data processing and outsourced services	12.6%	12.8%
Investment banking and brokerage	11.6%	11.8%
Financial exchanges	10.5%	10.7%
Regional banks	8.8%	8.9%
Consumer finance	2.5%	2.6%
Multi-sector holdings	2.4%	2.4%
<b>Total United States</b>	<b>64.9%</b>	<b>65.9%</b>
<b>Total North American</b>	<b>92.9%</b>	<b>94.4%</b>
<b>Non-North American</b>		
Insurance brokers	3.1%	3.2%
<b>Total Non-North American</b>	<b>3.1%</b>	<b>3.2%</b>
Cash and short-term investments	4.0%	4.0%
Total investment portfolio	100.0%	101.6%
Other net liabilities		(1.6%)
<b>Total Net Asset Value</b>		<b>100.0%</b>

<b>Holdings</b>	<b>% of Portfolio % of Net Asset Value</b>	
S&P Global Inc	8.1%	8.3%
Mastercard Inc	7.1%	7.2%
Bank of America Corp	6.7%	6.8%
Morgan Stanley	6.1%	6.2%
National Bank of Canada	5.6%	5.7%
Goldman Sachs Group Inc	5.5%	5.6%
Sun Life Financial Inc	5.3%	5.4%
Brookfield Asset Management Inc	5.2%	5.3%
Citigroup Inc	5.2%	5.3%
Royal Bank of Canada	5.1%	5.2%
JPMorgan Chase & Co	4.5%	4.6%
First Republic Bank	4.4%	4.5%
PNC Financial Services Group Inc	4.4%	4.5%
Manulife Financial Corp	4.4%	4.4%
Cash and short-term investments	4.0%	4.0%
Aon PLC	3.1%	3.2%
Broadridge Financial Solutions Inc	3.1%	3.1%
American Express Co	2.5%	2.6%
Fidelity National Information Services Inc	2.5%	2.5%
Berkshire Hathaway Inc	2.4%	2.4%
CME Group Inc	2.4%	2.4%
Toronto-Dominion Bank	2.4%	2.4%
<b>Total</b>	<b>100.0%</b>	<b>101.6%</b>

*The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at [www.bromptongroup.com](http://www.bromptongroup.com) within 60 days of each quarter end.*