

Brompton North American Financials Dividend ETF
Summary of Investment Portfolio as at September 30, 2019

Total Net Asset Value \$ 22,555,917

Portfolio Composition	% of Portfolio % of Net Asset Value	
North American		
Canada		
Diversified Banks	9.1%	9.2%
Life & Health Insurance	5.7%	5.7%
Total Canada	14.8%	14.9%
United States		
Data Processing & Outsourced Services	15.7%	15.9%
Diversified Banks	14.5%	14.7%
Regional Banks	10.0%	10.2%
Financial Exchanges	9.8%	9.9%
Life & Health Insurance	9.0%	9.1%
Investment Banking & Brokerage	8.6%	8.7%
Consumer Finance	5.1%	5.2%
Property & Casualty Insurance	5.1%	5.2%
Total United States	77.8%	78.9%
Total North American	92.6%	93.8%
Non-North American		
Insurance Brokers	5.6%	5.6%
Total Non-North American	5.6%	5.6%
Cash and short-term investments	1.8%	1.9%
Total investment portfolio	100.0%	101.3%
Other net liabilities		(1.3%)
Total Net Asset Value		100.0%

Holdings	% of Portfolio % of Net Asset Value	
S&P Global Inc	6.2%	6.2%
Mastercard Inc	6.0%	6.0%
Sun Life Financial Inc	5.7%	5.7%
Aon PLC	5.5%	5.6%
American Express Co	5.1%	5.2%
Progressive Corp	5.1%	5.2%
PNC Financial Services Group Inc	5.1%	5.2%
JPMorgan Chase & Co	5.1%	5.1%
First Republic Bank	4.9%	5.0%
Broadridge Financial Solutions Inc	4.9%	4.9%
Automatic Data Processing Inc	4.8%	4.9%
Toronto-Dominion Bank	4.8%	4.9%
Bank of America Corp	4.8%	4.8%
Citigroup Inc	4.7%	4.7%
MetLife Inc	4.5%	4.6%
Aflac Inc	4.4%	4.5%
Royal Bank of Canada	4.3%	4.4%
Goldman Sachs Group Inc	4.3%	4.4%
Morgan Stanley	4.3%	4.3%
CME Group Inc	3.7%	3.8%
Cash and short-term investments	1.8%	1.9%
Total	100.0%	101.3%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.

