

BBBB

Brompton Wellington Square Investment Grade CLO ETF

FUND PROFILE

AS AT OCTOBER 8, 2025

TSX Symbol	BBBB	BBBB.U
Currency	CAD Hedged	USD
CUSIP	11222J107	11222J115
NAV	\$19.99	\$20.01
Distribution	TBD	TBD
Inception Date	October 3, 2025	
Management Fee	0.60% of NAV p.a.	
Management Style	Actively Managed	
Manager/PM	Brompton Funds Limited	
Sub-Advisor	Wellington Square Advisors Inc.	
Reg. Account Eligibility?	Yes	
Risk Rating	Low to Medium	

This ETF is for investors who are:

- Looking to receive regular distributions;
- Looking for exposure to primarily investment-grade CLOs; and
- Able to accept some variability of returns.

Overview

Brompton Wellington Square Investment Grade CLO ETF (BBBB) is designed to provide high monthly income and capital preservation through investment in an actively managed portfolio of primarily investment grade-rated CLOs.

CLOs will be selected by the Sub-advisor with a minimum of 75% of the portfolio invested in investment grade CLOs. The ETF may also invest up to 25% of its portfolio in CLOs rated BB+ to B-, provided that no more than 5% of the portfolio is invested in CLOs rated below BB-.

Investment Highlights

- Investment grade CLO bonds offer high credit quality, attractive yields, and diversification benefits
- High-quality portfolio – minimum 75% investment grade
- High monthly income – expected initial distribution rate of approx. 7% p.a.¹
- Experienced Sub-advisor: Wellington Square Advisors Inc.
- Low to Medium risk-rating (ETF Facts)
- Available in CAD-hedged and USD units
- Management fee: 0.60%

Portfolio²

Portfolio Statistics

Price	100.20
Current Yield	8.30%
Coupon	8.32%
Duration (years)	0.25

Rating Distribution² % of Portfolio

BBB	19.1%
BBB-	56.9%
BB-	21.4%
Cash	2.6%

Top 10 Holdings

% of NAV

Davis Park CLO Ltd. 7.4185% due July 20, 2038	9.4%
Generate CLO 5 Ltd. 8.032% due July 22, 2037	9.4%
Madison Park Funding LXIX Ltd. 9.0685% due July 25, 2037	9.4%
AGL CLO 19 Ltd. 9.8205% due July 21, 2038	9.4%
AGL CLO 6 Ltd. 7.6255% due April 20, 2038	9.4%
Generate CLO 4 Ltd. 7.5755% due July 20, 2037	9.4%
AGL CLO 44 Ltd. 6.4533% due October 22, 2037	9.4%
Oaktree CLO 2020-1 Ltd. 9.3175% due January 15, 2038	9.4%
Elmwood CLO XII Ltd. 8.6676% due October 15, 2037	9.3%
KKR CLO 37 Ltd. 8.5755% due April 20, 2038	9.0%

Total**93.5%**

Sub-Advisor and Manager

Sub-Advisor

Wellington Square Advisors Inc. is a Toronto based independent investment advisory with a long-term track record managing funds investing in CLOs, leveraged loans and corporate credit in the U.S., European and Canadian markets. Wellington Square's partners have backgrounds within CLO management and managing institutional funds for Canada's largest pension plans.



Jeff Sujitno
Portfolio Manager



Amar Dhanoya
Portfolio Manager

Manager

Founded in 2000, Brompton is an experienced investment fund manager with income and growth focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds. Our funds are designed to address investors' cash flow requirements and to provide them with value-added diversification strategies. Since inception, Brompton has paid out over \$4 billion in distributions. For the level of service provided, our funds are known for their low management fees and costs.

(1) Expected distribution rate based on initial issue price.

(2) Totals may not equal 100% due to rounding.

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