

**BMAX**

# Brompton Enhanced Multi-Asset Income ETF

## FUND PROFILE

AS AT FEBRUARY 29, 2024

TSX Symbol	BMAX
Currency	CAD
CUSIP	11221Y105
Inception Date	Oct. 18, 2022
NAV	\$13.14
Distribution Rate <sup>1</sup>	9.5%
Distribution	\$0.10417/mo.
Total Assets	\$69 million
Leverage	Target 33% of NAV
Management Fee <sup>2</sup>	0% p.a.
Management Style	Active
Manager/PM	Brompton Funds Limited
Reg. Account Eligibility?	Yes
Risk Rating	Medium

### This ETF is for investors who are:

- Looking for a diversified investment solution
- Comfortable with leverage
- Can handle the ups and downs of the stock market

## Overview

Brompton Enhanced Multi-Asset Income ETF (BMAX) is designed to provide attractive monthly distributions and the opportunity for capital appreciation by investing in a leveraged portfolio of Brompton Equity and Fixed Income funds.

By combining a variety of investment strategies, diversified by geography, sector and asset class into a single exchange-traded fund, BMAX provides investors an efficient way to access a portfolio built for various market conditions.

## Investment Highlights

- Canada's first enhanced multi-asset ETF
- Diversified portfolio solution for income & growth
- Attractive monthly distributions
- Cost-effective: 0% management fee at the ETF level<sup>2</sup>
- Leveraged (target 33% of NAV) for enhanced income & capital appreciation potential

## Portfolio<sup>3</sup>

### Asset Allocation

### % of Portfolio

Fixed Income	28.2%
Financials	15.6%
Information Technology	15.5%
Healthcare	13.6%
Industrials	8.5%
Consumer Staples	3.9%
Energy	3.4%
Communication Services	2.9%
Utilities	2.9%
Consumer Discretionary	2.6%
Materials	1.7%
Real Estate	1.2%

### Top 10 Holdings

### % of Portfolio

Brompton Flaherty & Crumrine Investment Grade Preferred ETF	18.9%
Brompton Tech Leaders Income ETF	12.4%
Brompton European Dividend Growth ETF	10.2%
Brompton North American Financials Dividend ETF	10.2%
Brompton Global Dividend Growth ETF	10.0%
Brompton Global Healthcare Income & Growth ETF	9.7%
Brompton Split Corp. Preferred Share ETF	9.6%
Brompton Sustainable Real Assets Dividend ETF	9.6%
Brompton North American Low Volatility Dividend ETF	9.4%
Cash	0.0%

**Total****100.0%**

**BROMPTON**  
FUNDS



**Laura Lau**

BASc (Hons), CFA, DMS  
Chief Investment Officer



**Michael Clare**

BComm (Hons), CPA, CA, CFA  
Senior Vice President  
Senior Portfolio Manager

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds. Our funds are designed to address investors’ cash flow requirements and to provide them with value-added diversification strategies. Since inception, Brompton has paid out over \$3.0 billion in distributions. For those investors that do not require the regular cash flow, our funds also offer commission-free distribution reinvestment plans for additional units in the funds. For the level of service provided, our funds are known for their low management fees and costs.

Historical Performance

Annual Compound Returns <sup>4</sup>	YTD	1-YR	Since Inception
Brompton Enhanced Multi-Asset Income ETF	6.5%	16.7%	18.2%

(1) Distribution rate is based on February 29, 2024 closing market price. Source: LSEG Eikon.

(2) Subject to fees of the underlying ETFs held in the BMAX portfolio.

(3) Totals may not equal 100% due to rounding.

(4) Returns are for the periods ended February 29, 2024 and are unaudited. Inception date October 18, 2022. The table shows the ETF’s compound returns for each period indicated. Past performance does not necessarily indicate how the ETF will perform in the future. The information shown is based on Net Asset Value per unit and assumes that distributions made by the ETF on its units in the period shown were reinvested at Net Asset Value per unit in additional units of the ETF.

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Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. The indicated rates of return are the historical annual compounded total returns including changes in unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. Please read the prospectus before investing. Exchange-traded funds are not guaranteed, their values change frequently and past performance may not be repeated.

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