

**BMAX**

# Brompton Enhanced Multi-Asset Income ETF

## FUND PROFILE

AS AT OCTOBER 31, 2022

<b>TSX Symbol</b>	BMAX
<b>Currency</b>	Hedged to CAD
<b>CUSIP</b>	11221Y105
<b>Inception Date</b>	Oct. 18, 2022
<b>NAV</b>	\$12.45
<b>Distribution Rate<sup>1</sup></b>	9.6%
<b>Distribution</b>	\$0.1000/mo.
<b>Total Assets</b>	\$2 million
<b>Management Fee<sup>2</sup></b>	0% p.a.
<b>Leverage</b>	33% of NAV
<b>Management Style</b>	Active
<b>Manager/PM</b>	Brompton Funds Limited
<b>Reg. Account Eligibility?</b>	Yes
<b>Risk Rating</b>	Medium

### This ETF is for investors who are:

- Looking for a diversified investment solution
- Comfortable with leverage
- Can handle the ups and downs of the stock market

## Overview

Brompton Enhanced Multi-Asset Income ETF (BMAX) is designed to provide attractive monthly distributions and the opportunity for capital appreciation by investing in a leveraged portfolio of Brompton Equity and Fixed Income funds.

By combining a variety of investment strategies, diversified by geography, sector and asset class into a single exchange-traded fund, BMAX provides investors an efficient way to access a portfolio built for various market conditions.

## Investment Highlights

- Diversified portfolio solution for income & growth
- Attractive monthly distributions
- Sector, asset class and global geographic diversification
- Cost-effective: 0% management fee at the ETF level<sup>2</sup>
- Modest leverage (target 33% of NAV) for enhanced income & capital appreciation potential

## Portfolio<sup>3</sup>

Asset Allocation	% of Portfolio
Holdings in Investment Funds	91.5%
Preferred Shares	7.4%
Cash & short-term inv.	1.2%

Top 10 Holdings	% of Portfolio
Brompton Flaherty & Crumrine Investment Grade Preferred ETF	19.6%
Brompton Tech Leaders Income ETF	10.4%
Brompton North American Low Volatility Dividend ETF	10.3%
Brompton North American Financials Dividend ETF	10.3%
Brompton Global Healthcare Income & Growth ETF	10.3%
Brompton Global Dividend Growth ETF	10.3%
Brompton Sustainable Real Assets Dividend ETF	10.2%
Brompton European Dividend Growth ETF	10.1%
Sustainable Power & Infrastructure Split Corp Preferred Shares	1.9%
Life & Banc Split Corp Preferred Shares	1.9%
<b>Total</b>	<b>92.2%</b>

## Portfolio Manager: Brompton Funds Limited



**Laura Lau**

BASc (Hons), CFA, DMS  
Chief Investment Officer



**Michael Clare**

BComm (Hons), CPA, CA, CFA  
Senior Vice President  
Senior Portfolio Manager

## About Brompton Funds

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds. Our funds are designed to address investors' cash flow requirements and to provide them with value-added diversification strategies. Since inception, Brompton has paid out over \$3.0 billion in distributions. For those investors that do not require the regular cash flow, our funds also offer commission-free distribution reinvestment plans for additional units in the funds. For the level of service provided, our funds are known for their low management fees and costs.

(1) Distribution rate based on October 31, 2022 closing market price. Source: Thomson Reuters.

(2) Subject to fees of the underlying ETFs held in the BMAX portfolio.

(3) Totals may not equal 100% due to rounding.

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*Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. Please read the prospectus before investing. Exchange traded funds are not guaranteed, their values change frequently and past performance may not be repeated.*

*Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.*

**BROMPTON**  
FUNDS

VALUE  
INTEGRITY  
PERFORMANCE  
THE FOUNDATION FOR EXCELLENCE

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