

FUND PROFILE

AS AT SEPTEMBER 30, 2022

TSX Symbol	SSF.UN	
CUSIP	Class A	87158A104
	Class U	87158A203
NAV	Class A	\$6.84
	Class U	\$6.68
Distrb. Rate¹	Class A	8.5%
Distribution	Class A	\$0.05000/mo.
	Class U	\$0.04700/mo.
Currency	Class A	CAD
	Class U	USD
Inception Date	Nov. 1, 2011	
Total Assets	\$91 million	
Management Fee	1.25% p.a.	
Manager/PM	Brompton Funds Limited	
Sub-Advisor	Nuveen Asset Management	
Reg. Account Eligibility?	Yes	

Investment Objectives

- Provide monthly distributions, and
- Preserve capital.

The Fund was created to invest in an actively managed, diversified portfolio consisting primarily of short-duration floating rate senior corporate instruments, including senior loans and other senior debt obligations of North American non-investment grade corporate borrowers.

Why Invest?

- High level of capital preservation as Senior Loans have first priority of repayment and are secured by the issuer's assets
- Low interest rate risk as the floating rate coupons on Senior Loans protect investors from capital loss when interest rates rise
- Senior Loan Index has had positive returns in 28 of the past 31 years²
- Experienced Sub-Advisor: Nuveen Asset Management

Portfolio³

Sector Allocation	% of Portfolio
Information Technology	19.6%
Healthcare	17.8%
Consumer Discretionary	14.9%
Industrials	13.8%
Communication Services	12.4%
Energy	9.1%
Financials	2.9%
Cash and short-term inv.	2.8%
Consumer Staples	2.4%
Materials	2.3%
Utilities	1.8%
Real Estate	0.2%

Currency	% of Portfolio	Credit Suisse LL
U.S. Dollar	100.00%	100.00%
U.S.	89.7%	89.1%
Non U.S.	10.4%	10.9%

Issue Size	% of Portfolio	Credit Suisse LL
Below \$150m	0.6%	1.2%
\$150m - \$300m	2.4%	5.2%
\$300m - \$500m	3.5%	10.8%
\$500m - \$1b	15.7%	26.5%
Over \$1b	69.3%	56.3%
N/A	8.5%	0.0%

Asset Type	% of Portfolio
Senior Loans	88.6%
Equities	6.4%
Fixed Income	2.2%

Portfolio Statistics	Portfolio	Credit Suisse LL
Number of Issuers	239	1386
Price	91.36	93.21
Spread	360bp	367bp
Coupon	6.55%	6.77%
Current Yield	7.01%	7.39%
Yield to 3-yr	11.73%	11.49%
Discount Margin to 3-yr	747bp	718bp
Yield to Maturity	10.70%	10.56%
Effective Duration	0.15yrs	0.08yrs
Average Maturity	4.3yrs	4.5yrs
Average Rating	B+	B+

Top 10 Holdings	% of Portfolio
QuarterNorth Energy Holding Inc.	4.1%
Cash and short-term inv	2.8%
Medline Industries Inc Term Loan B	1.7%
Surgery Center Holdings Inc Extended Term Loan	1.5%
Clear Channel Outdoor Holdings, Inc. Term Loan B	1.5%
Parexel Term Loan B	1.3%
Energy Harbor Corp	1.2%
LifePoint Health, Inc. Term Loan B	1.2%
Zelis Healthcare Corp. 2021 Term Loan	1.2%
Mileage Plus Holdings, LLC Term Loan B	1.2%

Total **17.7%**

Sub-Advisor and Manager

Sub-Advisor

Nuveen Asset Management, LLC, specializes in the management of debt and equity strategies including senior loan portfolios with US\$300 billion assets under management as of 03/31/2022.



Scott Caraher
Head of Senior Loans



Larry Holzenthaler
Client Portfolio Manager

Manager

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds. Our funds are designed to address investors' cash flow requirements and to provide them with value-added diversification strategies. Since inception, Brompton has paid out over \$3.0 billion in distributions. For those investors that do not require the regular cash flow, our funds also offer commission-free distribution reinvestment plans for additional units in the funds. For the level of service provided, our funds are known for their low management fees and costs.

Historical Performance

Annual Compound Returns ⁴	YTD	1-YR	3-YR	5-YR	10-YR	Since Inception
Symphony Floating Rate Senior Loan Fund – Class A	(8.1%)	(7.6%)	0.5%	1.6%	3.4%	4.2%
Symphony Floating Rate Senior Loan Fund – Class U	(7.9%)	(7.4%)	0.9%	1.9%	3.4%	4.1%

(1) Distribution rate is based on market price as at September 30, 2022. Source: Thomson Reuters.

(2) Source: Credit Suisse, as at September 30, 2022. As represented by the Credit Suisse Leveraged Loan Index.

(3) Totals may not equal 100% due to rounding. As at September 30, 2022.

(4) Returns are for the periods ended September 30, 2022 and are unaudited. Inception date November 1, 2011. The table shows the Fund's compound return for each period indicated. Past performance does not necessarily indicate how the Fund will perform in the future. The information shown is based on Net Asset Value per unit and assumes that distributions made by the Fund on its units in the period shown were reinvested at Net Asset Value per unit in additional units of the Fund.

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You will usually pay brokerage fees to your dealer if you purchase or sell shares of the Fund on the Toronto Stock Exchange or other alternative Canadian trading system (an "exchange"). If the shares are purchased or sold on an exchange, investors may pay more than the current net asset value when buying shares of the investment fund and may receive less than the current net asset value when selling them.

There are ongoing fees and expenses associated with owning shares of an investment fund. An investment fund must prepare disclosure documents that contain key information about the Fund. You can find more detailed information about the Fund in the public filings available at www.sedar.com. The indicated rates of return are the historical annual compounded total returns including changes in share value and reinvestment of all distributions and do not take into account certain fees such as redemption costs or income taxes payable by any securityholder that would have reduced returns. Investment funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the Fund, to the future outlook of the Fund and anticipated events or results and may include statements regarding the future financial performance of the Fund. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.

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FUNDS

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Investor Relations

PHONE 416.642.6000
TOLL FREE 1.866.642.6001
FAX 416.642.6001
info@bromptongroup.com
www.bromptongroup.com

Address

Bay Wellington Tower,
Brookfield Place
181 Bay Street
Suite 2930, Box 793
Toronto, Ontario M5J 2T3