SYMPHONY
FLOATING RATE SENIOR LOAN FUND

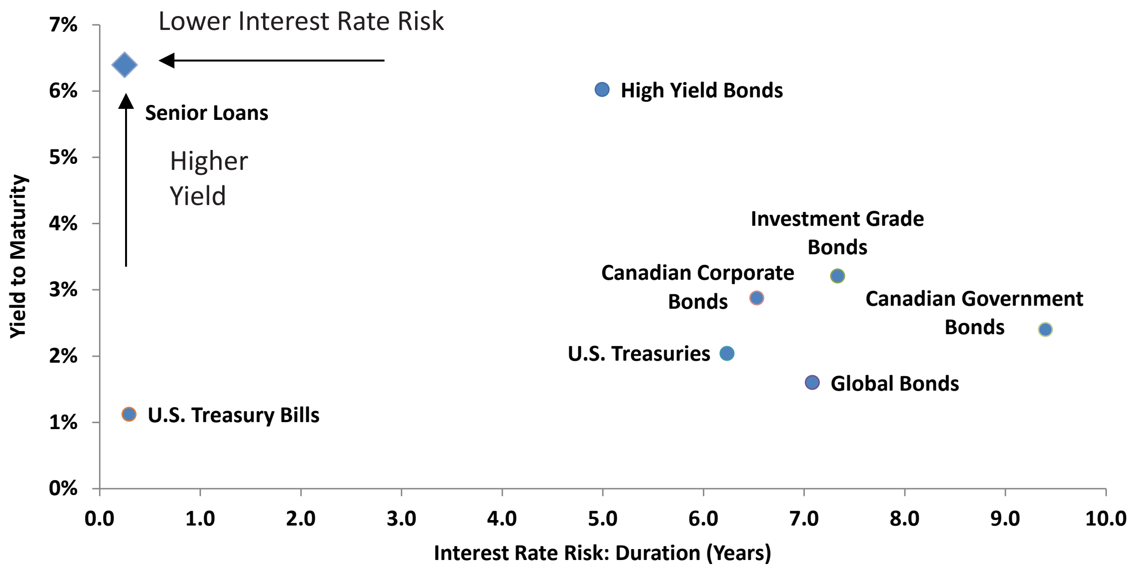
BROMPTON FUNDS

WHY SHOULD AN INVESTOR CONSIDER SENIOR LOANS?

- Attractive yield in a low-rate environment
- Lower interest rate sensitivity compared to traditional fixed income asset classes
- Floating interest rates provide opportunity for increased income when short-term interest rates rise
- Senior to bonds in the capital structure, which results in lower default rates and higher recovery value

Attractive Yield with Low Interest Rate Risk[1]

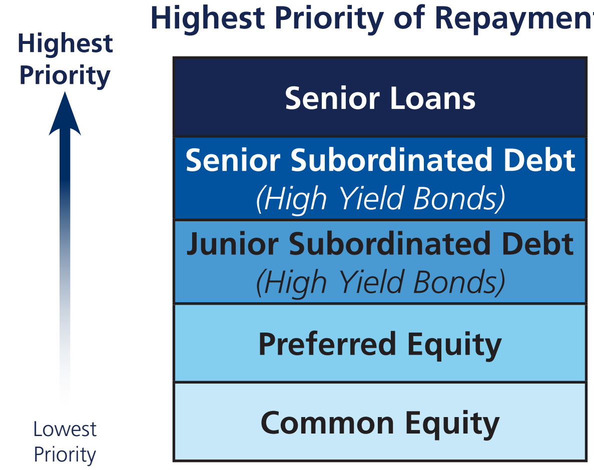
- Senior Loans offer high levels of yield relative to many other types of fixed income investments
- Due to the floating-rate nature of Senior Loan coupons, Senior Loans have lower interest rate sensitivity compared to traditional fixed income asset classes which can help protect investors in a rising interest rate environment



Capital Preservation

- Floating rate coupons protect investors from market price declines when interest rates rise
- Borrowers pledge assets as collateral security for Senior Loans
- Senior Loans offer the highest priority of claim for repayment, ahead of all other debt or equity
- The Senior Loan Index has had positive returns in 24 out of the last 26 years[2]

Senior Loans: Highest Priority of Repayment

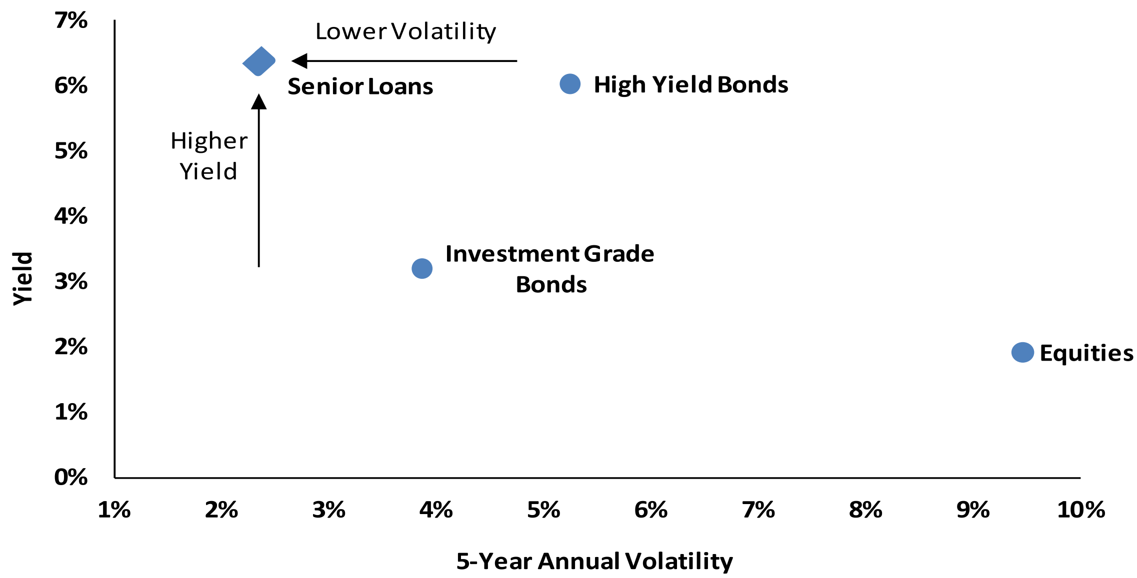


[1] Credit Suisse, Thomson Reuters Datastream as at October 31, 2017. Note: Benchmarks used were the Credit Suisse Leveraged Loan Index, Bank of America Merrill Lynch High Yield Master II Index, Bank of America Merrill Lynch U.S. Corporate Index, Bank of America Merrill Lynch Global Broad Market Index, Bank of America Merrill Lynch Unsubordinated U.S. Treasury/Agency Master Index, and the Bank of America Merrill Lynch U.S. Treasury Bill Index.

[2] Credit Suisse, as at October 31, 2017. As represented by the Credit Suisse Leveraged Loan Index.

Low Volatility Asset Class[3]

- Senior Loans have exhibited lower volatility compared to investment grade bonds, high yield bonds and equities over the last five years, while offering a high level of income



[3] Credit Suisse, Thomson Reuters Datastream as at October 31, 2017. Note: Benchmarks used were the Credit Suisse Leveraged Loan Index, Bank of American Merrill Lynch U.S. Corporate Index, Bank of America Merrill Lynch High Yield Master II Index and the S&P 500 Index.

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BROMPTON FUNDS

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