

## FUND DETAILS

AS AT SEPTEMBER 30, 2020

TSX Symbol	GDV; GDV.PR.A
Total Assets	\$69 million
Inception Date	June 15, 2018
NAV - Class A	\$9.11
Redemption Price - Pref	\$10.00
Management Fee	0.85% p.a.
Pref Credit Rating	Pfd-3 (high)

### Distributions per share:

Class A	\$0.10 monthly/\$1.20 p.a
Preferred	\$0.125 quarterly/\$0.50 p.a.

Distribution Rate (Class A)	12.3% <sup>1</sup>
Distribution Rate (Pref)	4.9% <sup>1</sup>
Maturity Date <sup>2</sup>	June 30, 2021

## Portfolio Manager and Manager

Brompton Funds Limited



**Laura Lau, BAsc (Hons), CFA, DMS**

Senior Vice President and Chief Investment Officer



**Michael Clare, BComm (Hons), CPA CA, CFA**

Vice President and Portfolio Manager

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including TSX traded closed-end funds and exchange-traded funds.

## WHY INVEST?

- Actively managed portfolio of large-capitalization global dividend growth companies
- Global dividend growers have historically outperformed the broader global equity market with lower volatility
- Global equities provide opportunity for Canadians to diversify in sectors that are underrepresented in Canada
- Potential for capital appreciation for Class A shares due to leveraged exposure to the Portfolio
- Distributions:
  - Preferred Shares - Target of \$0.50 p.a. \$1.14 dividends declared since inception
  - Class A Shares - Target of \$1.20 p.a.<sup>3</sup> \$2.75 distributions declared since inception

## INVESTMENT OBJECTIVES

To provide holders of Preferred shares with fixed cumulative preferential quarterly cash distributions and to return the original issue price on the maturity date.

To provide holders of Class A shares with regular monthly cash distributions<sup>4</sup> and the opportunity for capital appreciation.

## PORTFOLIO

SEPTEMBER 30, 2020

Sector Allocation	% of Portfolio	Geographic Allocation	% of Portfolio
Information Technology	19.8%	United States	50.2%
Financials	18.6%	Canada	13.7%
Consumer Discretionary	14.9%	Ireland	6.9%
Healthcare	12.0%	Netherlands	6.2%
Industrials	10.5%	France	6.1%
Consumer Staples	6.5%	Great Britain	5.9%
Materials	6.2%	Germany	2.9%
Utilities	4.8%	Switzerland	2.7%
Energy	3.6%	Italy	2.4%
Real Estate	2.5%	Denmark	2.4%
Cash	0.6%	Cash	0.6%
<b>Total</b>	<b>100%</b>		

Top 10 Holdings	% of Portfolio
ASML Holding NV	3.9%
Air Products and Chemicals Inc.	3.5%
Apple Inc.	3.2%
Lowe's Companies Inc.	3.1%
Adidas AG	2.9%
Broadcom Inc.	2.8%
Target Corp.	2.7%
Microsoft Corp.	2.7%
Abbott Laboratories	2.7%
Sun Life Financial Inc.	2.7%
<b>Total</b>	<b>30.2%</b>

Annual Compound Returns <sup>4</sup>	1-YR	Since Inception
Global Dividend Growth Split Corp. - Class A	(9.2%)	1.5%
Global Dividend Growth Split Corp. - Preferred	5.1%	5.1%
Global Dividend Growth Split Corp. - Unit	(2.6%)	3.2%

<sup>(1)</sup> Distribution rate based on market price as at September 30, 2020. Source: TMX Group Inc.

<sup>(2)</sup> On August 10, 2020, the Company announced an extension of the maturity date of the Class A and Preferred Shares. The current maturity date of June 30, 2021 will be extended for an additional period of three to five years. Further details will be announced by news release at least 60 days prior to the current June 30, 2021 maturity date.

<sup>(3)</sup> No cash distributions will be paid on the Class A shares if, after the payment of the distribution by the Company, the NAV per Unit (consisting of 1 Class A share and 1 Preferred share) would be less than \$15.00.

<sup>(4)</sup> Returns are for the periods ended September 30, 2020. Inception date June 15, 2018. The table shows the Fund's compound returns on a Class A share, Preferred share and unit for each period indicated.

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You will usually pay brokerage fees to your dealer if you purchase or sell shares of the Fund on the Toronto Stock Exchange or other alternative Canadian trading system (an "exchange"). If the units are purchased or sold on an exchange, investors may pay more than the current net asset value when buying shares of the investment fund and may receive less than the current net asset value when selling them.

There are ongoing fees and expenses associated with owning shares of an investment fund. An investment fund must prepare disclosure documents that contain key information about the Fund. You can find more detailed information about the Fund in the public filings available at [www.sedar.com](http://www.sedar.com). The indicated rates of return are the historical annual compounded total returns including changes in share value and reinvestment of all distributions and do not take into account certain fees such as redemption costs or income taxes payable by any securityholder that would have reduced returns. Investment Funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the Fund, to the future outlook of the Fund and anticipated events or results and may include statements regarding the future financial performance of the Fund. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.

**BROMPTON**  
FUNDS

VALUE  
INTEGRITY  
PERFORMANCE  
THE FOUNDATION FOR EXCELLENCE

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