

**Brompton Split Banc Corp.**  
**Summary of Investment Portfolio as at September 30, 2019**

Total Net Asset Value <sup>(1)</sup> \$ 196,023,719

<b>Portfolio Composition</b>	<b>% of Portfolio</b>	<b>% of Net Asset Value</b>
Banks	90.6%	91.1%
North American financials exchange-traded fund	9.3%	9.3%
Cash and short-term investments	0.1%	0.1%
<b>Total investment portfolio</b>	<b>100.0%</b>	<b>100.5%</b>
Other net liabilities		(0.5%)
<b>Total Net Asset Value</b>		<b>100.0%</b>

<b>Holdings</b>	<b>% of Portfolio</b>	<b>% of Net Asset Value</b>
National Bank of Canada	15.7%	15.7%
Bank of Nova Scotia	15.6%	15.7%
Royal Bank of Canada	15.5%	15.6%
Toronto-Dominion Bank	15.2%	15.3%
Canadian Imperial Bank of Commerce	14.8%	14.9%
Bank of Montreal	13.8%	13.9%
Brompton North American Financials Dividend ETF	9.3%	9.3%
Cash and short-term investments	0.1%	0.1%
<b>Total</b>	<b>100.0%</b>	<b>100.5%</b>

<sup>(1)</sup> Net asset value of the Fund includes the value of the Preferred shares.

*The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at [www.bromptongroup.com](http://www.bromptongroup.com) within 60 days of each quarter end.*