

Symphony Floating Rate Senior Loan Fund
Summary of Investment Portfolio as at December 31, 2020

Total Net Asset Value

\$ 68,483,555

Portfolio Composition

	% of Portfolio	% of Net Asset Value
Senior Loan		
Technology	13.2%	18.8%
Consumer products	10.0%	14.3%
Telecommunications	9.5%	13.5%
Media	8.9%	12.6%
Services	8.8%	12.5%
Healthcare providers and services	7.4%	10.5%
Hotels, restaurants and leisure	6.7%	9.5%
Other	6.2%	8.8%
Oil and gas	5.1%	7.1%
Healthcare equipment and supplies	3.3%	4.7%
Material	2.9%	4.0%
Electronics	2.2%	3.1%
Banking and finance	2.1%	3.0%
Pharmaceuticals	1.8%	2.6%
Retail	1.7%	2.5%
Total Senior Loans	89.8%	127.5%
Fixed Income Investments		
Oil and gas	0.6%	0.8%
Other	0.5%	0.7%
Telecommunications	0.5%	0.7%
Media	0.4%	0.5%
Healthcare providers and services	0.3%	0.5%
Pharmaceuticals	0.3%	0.3%
Banking and finance	0.3%	0.3%
Total Fixed Income Investments	2.9%	3.8%
Equities		
Media	1.1%	1.6%
Services	0.9%	1.3%
Technology	0.3%	0.4%
Oil and gas	0.1%	0.2%
Consumer products	0.1%	0.1%
Total Equities	2.5%	3.6%
Cash and short-term investments	4.8%	6.8%
Total investment portfolio	100.0%	141.7%
Currency forward contracts		2.9%
Other net liabilities		(44.6%)
Total net asset value		100.0%

Top 25 Holdings

	% of Portfolio	% of Net Asset Value
Cash and short-term investments	4.8%	6.8%
Caesars Resort Collection First Lien Term Loan	1.6%	2.3%
Intelsat Jackson Holdings S.A. Term Loan B3	1.6%	2.3%
Mc-Graw-Hill Education Holdings Inc. Term Loan B	1.5%	2.1%
Rackspace Hosting, Inc. Term Loan B	1.5%	2.1%
Clear Channel Outdoor Holdings, Inc. Term Loan B	1.4%	2.1%
Life Time Fitness Inc. Term Loan B	1.4%	2.0%
TNT Crance & Rigging Inc. Priority Term Loan	1.4%	1.9%
Refinitiv Term Loan B	1.3%	1.8%
Cengage Learning Acquisitions, Inc. (Thomson Learning) Term Loan B	1.2%	1.6%
Syniverse Holdings, Inc. Tranche Term Loan C	1.1%	1.6%
Uber Technologies, Inc. Term Loan B	1.1%	1.6%
Cequel Communications, LLC (Cebridge) Term Loan B3	1.1%	1.6%
Concordia Healthcare Corp Exit Term Loan	1.1%	1.6%
Calpine Corporation Term Loan	1.1%	1.5%
Greeneden U.S. Holdings II, LLC Term Loan B	1.0%	1.4%
Lions Gate Entertainment Corp. Term Loan B	1.0%	1.4%
Meredith Corporation Tranche Term Loan B-2	1.0%	1.4%
Formula One Group (Alpha Topco), Term Loan B	1.0%	1.4%
Navistar Inc., Tranche Term Loan B	1.0%	1.4%
Gentiva Health Services, Inc. First Lien Term Loan B	0.9%	1.2%
Micro Focus International Plc Initial Term Loan	0.9%	1.2%
Intelsat Jackson Holdings S.A. DIP Term Loan	0.9%	1.2%
Springer Science and Business Media Inc. Term Loan B16	0.9%	1.2%
Syniverse Holdings, Inc. Second Lien Term Loan	0.9%	1.2%
Total	32.7%	45.9%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.