

Symphony Floating Rate Senior Loan Fund
Summary of Investment Portfolio as at December 31, 2019

Total Net Asset Value

\$ 91,574,411

Portfolio Composition

	% of Portfolio	% of Net Asset Value
Senior Loan		
Technology	15.1%	24.2%
Services	8.8%	13.9%
Media	8.6%	13.8%
Telecommunications	8.6%	13.7%
Consumer products	8.1%	13.0%
Healthcare providers and services	6.5%	10.5%
Hotels, restaurants and leisure	6.2%	9.9%
Oil and gas	6.1%	9.8%
Other	5.4%	8.7%
Material	3.1%	4.9%
Banking and finance	3.0%	4.8%
Electronics	2.3%	3.6%
Retail	2.2%	3.5%
Pharmaceuticals	1.3%	2.1%
Healthcare equipment and supplies	1.2%	1.9%
Total Senior Loans	86.5%	138.3%
Fixed Income Investments		
Telecommunications	1.9%	3.1%
Technology	1.1%	1.7%
Consumer products	0.9%	1.4%
Media	0.9%	1.4%
Banking and finance	0.4%	0.7%
Pharmaceuticals	0.2%	0.3%
Total Fixed Income Investments	5.4%	8.6%
Equities		
Media	1.1%	1.8%
Technology	0.4%	0.6%
Oil and gas	0.3%	0.5%
Services	0.1%	0.1%
Consumer products	0.1%	0.1%
Total Equities	2.0%	3.1%
Cash and short-term investments	6.1%	9.7%
Total investment portfolio	100.0%	159.7%
Currency forward contracts		1.5%
Other net liabilities		(61.2%)
Total net asset value		100.0%

Top 25 Holdings

	% of Portfolio	% of Net Asset Value
Cash and short-term investments	6.1%	9.7%
Fieldwood Energy LLC Exit Term Loan	1.7%	2.8%
Cengage Learning Acquisitions, Inc. (Thomson Learning) Exit Term Loan	1.7%	2.7%
Frontier Communications Term Loan B	1.5%	2.4%
Sequa Corporation Initial Term Loan	1.5%	2.4%
Clear Channel Outdoor Holdings, Inc. Term Loan B	1.5%	2.4%
Scientific Games Corp Term B-5 Loan	1.3%	2.1%
Avaya Inc. Tranche B Term Loan	1.3%	2.0%
Life Time Fitness Inc. Term Loan B	1.2%	2.0%
Intelsat Jackson Holdings S.A. Term Loan B3	1.2%	2.0%
Infor (US) Inc. (Lawson Software) Tranche B-2 Term Loan	1.2%	1.9%
Pharmaceutical Product Development, Inc. (PPDI) Term Loan B	1.1%	1.8%
Caesars Resort Collection First Lien Term Loan	1.1%	1.8%
Communication Sales and Leasing Inc. Term Loan B	1.1%	1.7%
CenturyLink Term Loan B	1.0%	1.6%
Revlon Consumer Products Corporation Term Loan B	1.0%	1.6%
Micro Focus International Plc Term Loan B	1.0%	1.6%
Kindred at Home Hospice (Gentiva Health Services, Inc.) Term Loan B	1.0%	1.5%
Formula One Group (Alpha Topco), Term Loan B	1.0%	1.5%
Frontier Communications Corp. 8.00% due April 1 , 2027	0.9%	1.5%
Balboa Merger Sub, Inc. (Tibco Software Inc.) 11.375% due December 1, 2021	0.9%	1.5%
Tibco Software, Inc. (Balboa Merger Sub) Term Loan B	0.9%	1.5%
Mc-Graw-Hill Education Holdings Inc. Term Loan B	0.9%	1.5%
BMC Software Inc. Term Loan B	0.9%	1.5%
Refinitiv Term Loan B	0.9%	1.4%
Total	33.9%	54.4%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.