## Symphony Floating Rate Senior Loan Fund Summary of Investment Portfolio as at March 31, 2019

## Total Net Asset Value

\$ 95,931,290

## Portfolio Composition

Senior Laan         15.5%         25.6%           Services         9.5%         15.4%           Services         7.9%         13.1%           Consume products         7.6%         12.6%           Media         6.2%         10.3%           Oil & gas         6.0%         10.0%           Hotels, restaurants & leisure         5.5%         9.1%           Other         4.8%         7.9%           Bahing & finance         3.5%         6.5%           Matrial         3.9%         6.6%           Material         3.9%         6.6%           Material         3.9%         6.4%           Material         3.9%         6.6%           Material         3.7%         6.2%           Electronics         3.4%         5.6%           Healthcare roupinent & supplies         1.4%         2.3%           Pramaceuticals         0.9%         1.6%           Total Storier Leas         7.5%         7.5%           Consumer products         0.8%         1.4%           Media         0.4%         0.7%           Consumer products         0.5%         0.8%           Marage         0.5%         0.8%		% of Portfolio	% of Net Asset Value	
Services9.5%15.4%Telecommunications7.9%13.1%Consume products7.9%12.6%Media6.2%10.3%Oil & gas6.0%10.0%Hotels, restaurants & leisure5.7%9.4%Bahleng providers & services5.5%9.1%Other4.8%7.9%Banking & finance3.9%6.64%Material3.7%6.2%Banking & finance3.9%6.4%Material3.7%6.2%Electronics3.4%5.6%Material3.7%6.2%Electronics3.4%5.6%Telecommunications8.9%142.0%Total Senior Leans9.9%1.6%Total Senior Leans9.9%1.6%Total Senior Leans9.9%3.1%Media1.4%2.3%Parenaceuticals0.9%3.1%Media1.4%2.3%Total Senior Leans4.5%7.5%Technology1.9%3.1%Media1.4%0.3%Onsumer products0.1%0.0%Data Senior Leans0.0%0.1%Data Senior Leans0.1%0.2%Total Investments9.9%1.6%Senice0.3%0.5%Onsumer products0.1%0.1%Data Senior Leans0.1%0.1%Senior Leans0.1%0.1%Senior Leans0.3%0.5%Consumer products0.1%0.1%Ontage	Senior Loan			
Telecommunications         7.9%         13.1%           Consumer products         7.6%         12.6%           Media         6.2%         10.3%           Oil & gas         6.0%         10.0%           Hotels, restaurants & leisure         5.7%         9.4%           Healthcare providers & services         5.5%         9.1%           Other         4.8%         7.9%           Banking & finance         3.9%         6.6%           Material         3.9%         6.6%           Electronics         3.4%         5.6%           Healthcare equipment & supplies         1.4%         2.3%           Pharmaceuticals         0.9%         1.6%           Total Schor Leans         85.9%         142.0%           Fixed Income Investments         1.4%         2.3%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Consumer products         0.8%         1.4%           Oil & gas         0.3%         0.4%           Orse         0.3%         0.4%           Oil & gas         0.3%         0.4%           Oil & g	Technology	15.5%	25.6%	
Consumer products         7.6%         12.6%           Media         6.2%         10.3%           Oil & gas         6.0%         10.0%           Hotels, restaurants & leisure         5.7%         9.4%           Healthcare providers & services         5.5%         9.1%           Other         4.8%         7.9%           Banking & finance         3.3%         6.5%           Retail         3.9%         6.4%           Material         3.7%         6.2%           Electronicis         3.4%         5.6%           Healthcare equipment & supplies         1.4%         2.3%           Pharmaceuticats         0.9%         1.6%           Total Senior Loans         85.9%         142.0%           Fixed Income Investments         1.4%         2.3%           Telecommunications         4.5%         7.5%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.5%         0.8%           Banking & finance         0.5%         0.8%           Banking & finance         0.5%         0.5%           Outs restaurants & leisure         0.5%         0.5%	Services	9.5%	15.4%	
Media         6.2%         10.3%           Oil & gas         6.0%         10.0%           Hotels, restaurants & leisure         5.7%         9.4%           Healthcare providers & services         5.5%         9.1%           Other         4.8%         7.9%           Banking & finance         3.9%         6.5%           Material         3.9%         6.4%           Material         3.9%         6.6%           Healthcare equipment & supplies         3.4%         5.6%           Healthcare equipment & supplies         0.4%         2.3%           Total Senior Loans         85.9%         142.0%           Telecommunications         4.5%         7.5%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Media         1.4%         2.3%           Consumer products         0.5%         0.8%           Banking & finance         0.3%         0.4%           Old & gas         0.3%         0.4%           Pharmaceuticals         0.1%         0.2%           Old & gas         0.3%         0.5%           Med	Telecommunications	7.9%	13.1%	
Oil & gas6.0%10.0%Hotels, restaurants & leisure5.7%9.4%Healthcare providers & services5.5%9.1%Other4.8%7.9%Banking & finance3.9%6.5%Retail3.9%6.6%Material3.9%6.6%Healthcare equipment & supplies1.4%2.3%Pharmaceuticals0.9%1.6%Total Senior Loans85.9%1.4%Consumer products4.5%7.5%Technology1.9%3.1%Media1.4%2.3%Consumer products0.8%1.4%Hotels, restaurants & leisure0.5%0.8%Banking & finance0.1%0.2%Oil & gas0.6%0.9%Services0.3%0.4%Detrology1.9%3.1%Media0.1%0.2%Total Fixed Income Investments9.9%16.4%Pharmaceuticals0.1%0.2%Otal Fixed Income Investments9.9%16.4%Pharmaceuticals0.1%0.1%Ousumer products0.3%0.5%Consumer products0.1%0.1%Data Fixed Income Investments9.9%16.4%Equipsion0.1%0.1%Outing & Consumer products0.1%0.1%Media0.3%0.5%0.5%Consumer products0.3%0.5%Consumer products0.1%0.1%Dia Equipsion0.1%0.1%Consumer products0	Consumer products	7.6%	12.6%	
Hotels, restaurants & leisure $5.7\%$ $9.4\%$ Healthcare providers & services $5.5\%$ $9.1\%$ Other $4.8\%$ $7.9\%$ Banking & finance $3.9\%$ $6.5\%$ Retail $3.9\%$ $6.4\%$ Material $3.9\%$ $6.2\%$ Electronics $3.4\%$ $5.6\%$ Healthcare equipment & supplies $1.4\%$ $2.3\%$ Pharmaceuticals $0.9\%$ $1.6\%$ Total Senior Loans $85.9\%$ $142.0\%$ Fixed Income Investments $7.5\%$ $7.5\%$ Technology $1.9\%$ $3.1\%$ Media $1.4\%$ $2.3\%$ Consumer products $0.8\%$ $1.4\%$ Hotels, restaurants & leisure $0.5\%$ $0.8\%$ Banking & finance $0.4\%$ $0.7\%$ Oil & gas $0.3\%$ $0.4\%$ Parmaceuticals $0.1\%$ $0.2\%$ Total Fixed Income Investments $9.9\%$ $16.4\%$ Parmaceuticals $0.1\%$ $0.2\%$ Otil & gas $0.6\%$ $0.1\%$ $0.2\%$ <td>Media</td> <td>6.2%</td> <td>10.3%</td>	Media	6.2%	10.3%	
Healthcare providers & services         5.5%         9.1%           Other         4.8%         7.9%           Banking & finance         3.9%         6.4%           Material         3.9%         6.4%           Material         3.7%         6.2%           Electronics         3.4%         5.6%           Healthcare equipment & supplies         1.4%         2.3%           Pharmaceuticals         0.9%         1.6%           Total Senior Loans         85.9%         142.0%           Fixed Income Investments         85.9%         142.0%           Fixed Income Investments         9.5%         0.3%           Consumer products         0.8%         1.4%           Media         1.4%         2.3%           Onsumer products         0.8%         0.4%           Pharmaceuticals         0.1%         0.2%           Otal Second Instruct         0.3%         0.4%           Pharmaceuticals         0.1%         0.2%           Otal Second Instruct         0.3%         0.4%           Pharmaceuticals         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Pharmaceuticals         0.0%	Oil & gas	6.0%	10.0%	
Other         4.8%         7.9%           Banking & finance         3.9%         6.5%           Retail         3.9%         6.4%           Material         3.9%         6.4%           Material         3.7%         6.2%           Electronics         3.4%         5.6%           Healthcare equipment & supplies         1.4%         2.3%           Pharmaceuticals         0.9%         1.6%           Total Enior Loans         85.9%         142.0%           Fixed Income Investments         7.5%         7.5%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.5%         0.8%           Banking & finance         0.5%         0.8%           Oli & gas         0.3%         0.4%           Orset         0.1%         0.2%           Total Fixed Income Investments         0.1%         0.2%           Diagas         0.6%         0.1%           Onli & gas         0.6%         0.9%           Services         0.3%         0.5%           Media         0.3%         0.5%           Media         0.3%         0.5%	Hotels, restaurants & leisure	5.7%	9.4%	
Banking & finance         3.9%         6.5%           Retail         3.9%         6.4%           Material         3.7%         6.2%           Electronics         3.4%         5.6%           Healthcare equipment & supplies         1.4%         2.3%           Pharmaceuticals         0.9%         1.6%           Total Senior Loans         85.9%         142.0%           Fixed Income Investments         1.4%         2.3%           Techonology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Hotels, restaurants & leisure         0.5%         0.8%           Banking & finance         0.4%         0.7%           Oil & gas         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Pharmaceuticals         0.1%         0.2%           Oil & gas         0.6%         1.1%           Oil & gas         0.6%         0.1%           Services         0.3%         0.5%           Consumer products         0.1%         0.1%           Oil & gas         0.6%         0.1%           Oil	Healthcare providers & services	5.5%	9.1%	
Retail         3.9%         6.4%           Material         3.7%         6.2%           Electronics         3.4%         5.6%           Healthcare equipment & supplies         1.4%         2.3%           Pharmaceuticals         0.9%         1.6%           Total Senior Leans         85.9%         142.0%           Fixed Income Investments         85.9%         142.0%           Fixed Income Investments         1.9%         3.1%           Consumer products         0.8%         1.4%           Kotels, restaurants & leisure         0.5%         0.8%           Banking & finance         0.4%         0.7%           Oil & gas         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Pharmaceuticals         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Pharmaceuticals         0.1%         0.1%           Oil & gas         0.6%         0.9%           Services         0.3%         0.5%           Consumer products         0.1%         0.1%           Oil & gas         0.6%         0.9%           Services         0.3%         0.5%<	Other	4.8%	7.9%	
Material         3.7%         6.2%           Electronics         3.4%         5.6%           Healthcare equipment & supplies         1.4%         2.3%           Pharmaceuticals         0.9%         1.6%           Total Senior Loans         85.9%         142.0%           Tisced Income Investments         7.5%         7.5%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Hotels, restaurants & leisure         0.5%         0.8%           Banking & finance         0.4%         0.7%           Oil & gas         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Equities         1.4%         0.3%           Technology         0.1%         0.2%           Otal Fixed Income Investments         9.9%         16.4%           Oil & gas         0.6%         0.9%           Services         0.3%         0.5%           Media         0.3%         0.5%           Consumer products         0.1%         0.1%           Onsumer products         0.1%         0.1%	Banking & finance	3.9%	6.5%	
Electronics         3.4%         5.6%           Healthcare equipment & supplies         1.4%         2.3%           Pharmaceuticals         0.9%         1.6%           Total Senior Loans         85.9%         142.0%           Fixed Income Investments         75%         75%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Hotels, restaurants & leisure         0.5%         0.8%           Banking & finance         0.4%         0.7%           Oil & gas         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Equities         1.4%         0.3%           Technology         0.6%         0.1%           Oil & gas         0.6%         0.9%           Equities         1.4%         0.3%           Technology         0.6%         0.9%           Oil & gas         0.3%         0.5%           Goals and         0.3%         0.5%           Goals         0.3%         0.5%           Outile         0.3%         0.5%           Onsumer products	Retail	3.9%	6.4%	
Healthcare equipment & supplies         1.4%         2.3%           Pharmaceuticals         0.9%         1.6%           Total Senior Loans         85.9%         142.0%           Fixed Income Investments         7.5%         7.5%           Telecommunications         4.5%         7.5%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Hotels, restaurants & leisure         0.5%         0.8%           Banking & finance         0.4%         0.7%           Oil & gas         0.3%         0.4%           Pharmaceuticals         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Equities         75%         0.6%         0.1%           Oil & gas         0.6%         0.1%         0.1%           Onsumer products         0.1%         0.1%         0.1%           Onsumer products         0.1%	Material	3.7%	6.2%	
Pharmaceuticals         0.9%         1.6%           Total Senior Loans         85.9%         142.0%           Fixed Income Investments         7.5%           Teckcommunications         4.5%         7.5%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Hotels, restaurants & leisure         0.5%         0.8%           Banking & finance         0.4%         0.7%           Oil & gas         0.3%         0.4%           Pharmaceuticals         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Equities         1.1%         0.2%           Technology         0.6%         1.1%           Oil & gas         0.6%         0.9%           Services         0.3%         0.5%           Media         0.3%         0.5%           Consumer products         0.1%         0.1%           Pharmaceuticals         0.0%         0.1%           Goal and short-term investments         2.3%         3.9%           Cash and short-term investments         2.3%         3.9% <td< td=""><td>Electronics</td><td>3.4%</td><td>5.6%</td></td<>	Electronics	3.4%	5.6%	
Total Senior Loans         85.9%         142.0%           Fixed Income Investments         1         7.5%           Telecommunications         4.5%         7.5%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Notels, restaurants & leisure         0.5%         0.8%           Banking & finance         0.4%         0.7%           Oil & gas         0.3%         0.4%           Pharmaceuticals         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Equities         0.6%         1.1%           Oil & gas         0.6%         0.9%           Services         0.3%         0.5%           Ouil & gas         0.6%         1.1%           Oil & gas         0.6%         0.1%           Consumer products         0.1%         0.1%           Consumer products         0.1%         0.1%           Pharmaceuticals         0.0%         0.1%           Consumer products         0.1%         0.1%           Chaid short-term investments         2.3%         3.2%	Healthcare equipment & supplies	1.4%	2.3%	
Fixed Income Investments           Telecommunications         4.5%         7.5%           Technology         1.9%         3.1%           Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Hotels, restaurants & leisure         0.5%         0.8%           Banking & finance         0.4%         0.7%           Oil & gas         0.3%         0.4%           Pharmaceuticals         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Equities         0.6%         0.9%           Services         0.3%         0.5%           Media         0.3%         0.5%           Consumer products         0.1%         0.9%           Services         0.3%         0.5%           Media         0.3%         0.5%           Consumer products         0.1%         0.1%           Pharmaceuticals         0.0%         0.1%           Total Equities         1.9%         3.2%           Cash and short-term investments         2.3%         3.9%           Total investment portfolio         100.0%         165.5%           Currency forward contracts	Pharmaceuticals	0.9%	1.6%	
Telecommunications4.5%7.5%Technology1.9%3.1%Media1.4%2.3%Consumer products0.8%1.4%Hotels, restaurants & leisure0.5%0.8%Banking & finance0.4%0.7%Oil & gas0.3%0.4%Pharmaceuticals0.1%0.2%Total Fixed Income Investments9.9%16.4%Equities1.1%0.6%0.1%Oil & gas0.6%0.9%Services0.3%0.5%Media0.3%0.5%Consumer products0.1%0.1%Pharmaceuticals0.0%0.1%Consumer products0.1%0.1%Consumer products0.1%0.1%Total Equities1.9%3.2%Cash and short-term investments2.3%3.9%Total investment portfolio100.0%165.5%Currency forward contracts0.6%)0.6%)Other net liabilities(64.9%)0.6%)	Total Senior Loans	85.9%	142.0%	
Technology       1.9%       3.1%         Media       1.4%       2.3%         Consumer products       0.8%       1.4%         Hotels, restaurants & leisure       0.5%       0.8%         Banking & finance       0.4%       0.7%         Oil & gas       0.3%       0.4%         Pharmaceuticals       0.1%       0.2%         Total Fixed Income Investments       9.9%       16.4%         Equities       1.1%       0.1%       0.9%         Technology       0.6%       1.1%       0.3%       0.5%         Oil & gas       0.6%       0.9%       1.1%       0.1%       0.5%         Oil & gas       0.6%       0.1%       0.5%       0.5%       0.6%       0.9%       0.5%       0.1%<	Fixed Income Investments			
Media         1.4%         2.3%           Consumer products         0.8%         1.4%           Hotels, restaurants & leisure         0.5%         0.8%           Banking & finance         0.4%         0.7%           Oil & gas         0.3%         0.4%           Pharmaceuticals         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Equities         9.9%         16.4%           Technology         0.6%         1.1%           Oil & gas         0.6%         0.9%           Services         0.3%         0.5%           Media         0.3%         0.5%           Consumer products         0.1%         0.1%           Pharmaceuticals         0.0%         0.1%           Otal Equities         1.9%         3.2%           Consumer products         1.9%         3.2%           Cash and short-term investments         2.3%         3.9%           Total investment portfolio         100.0%         165.5%           Currency forward contracts         0.6%)         0.6%)           Other net liabilities         (64.9%)         0.6%)	Telecommunications	4.5%	7.5%	
Consumer products0.8%1.4%Hotels, restaurants & leisure0.5%0.8%Banking & finance0.4%0.7%Oil & gas0.3%0.4%Pharmaceuticals0.1%0.2%Total Fixed Income Investments9.9%16.4%Equities9.9%16.4%Technology0.6%1.1%Oil & gas0.6%0.9%Services0.3%0.5%Media0.3%0.5%Consumer products0.1%0.1%Pharmaceuticals0.0%0.1%Total Equities1.9%3.2%Cash and short-term investments2.3%3.9%Total investment portfolio100.0%165.5%Currency forward contracts0.6%)0.6%)Other net liabilities(64.9%)0.6%)	Technology	1.9%	3.1%	
Hotels, restaurants & leisure $0.5\%$ $0.8\%$ Banking & finance $0.4\%$ $0.7\%$ Oil & gas $0.3\%$ $0.4\%$ Pharmaceuticals $0.1\%$ $0.2\%$ Total Fixed Income Investments $9.9\%$ $16.4\%$ Equities $7$ $0.6\%$ $1.1\%$ Technology $0.6\%$ $0.9\%$ Oil & gas $0.6\%$ $0.9\%$ Services $0.3\%$ $0.5\%$ Media $0.3\%$ $0.5\%$ Consumer products $0.1\%$ $0.1\%$ Pharmaceuticals $0.0\%$ $0.1\%$ Total Equities $1.9\%$ $3.2\%$ Cash and short-term investments $2.3\%$ $3.9\%$ Total investment portfolio $100.0\%$ $165.5\%$ Currency forward contracts $(64.9\%)$ $(64.9\%)$	Media	1.4%	2.3%	
Banking & finance $0.4\%$ $0.7\%$ Oil & gas $0.3\%$ $0.4\%$ Pharmaceuticals $0.1\%$ $0.2\%$ Total Fixed Income Investments $9.9\%$ $16.4\%$ Equities $9.9\%$ $16.4\%$ Technology $0.6\%$ $1.1\%$ Oil & gas $0.6\%$ $0.9\%$ Services $0.3\%$ $0.5\%$ Media $0.3\%$ $0.5\%$ Consumer products $0.1\%$ $0.1\%$ Pharmaceuticals $0.0\%$ $0.1\%$ Total Equities $1.9\%$ $3.2\%$ Cash and short-term investments $2.3\%$ $3.9\%$ Total investment portfolio $100.0\%$ $165.5\%$ Currency forward contracts $(0.6\%)$ $(0.6\%)$ Other net liabilities $(64.9\%)$ $(64.9\%)$	Consumer products	0.8%	1.4%	
Oil & gas         0.3%         0.4%           Pharmaceuticals         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Equities         7         7           Technology         0.6%         1.1%           Oil & gas         0.6%         0.9%           Services         0.3%         0.5%           Media         0.3%         0.5%           Consumer products         0.1%         0.1%           Pharmaceuticals         0.0%         0.1%           Total Equities         2.3%         3.9%           Total investment portfolio         100.0%         165.5%           Currency forward contracts         (0.6%)         (0.6%)           Other net liabilities         (64.9%)         (64.9%)	Hotels, restaurants & leisure	0.5%	0.8%	
Pharmaceuticals         0.1%         0.2%           Total Fixed Income Investments         9.9%         16.4%           Equities             Technology         0.6%         1.1%           Oil & gas         0.6%         0.9%           Services         0.3%         0.5%           Media         0.3%         0.5%           Consumer products         0.1%         0.1%           Pharmaceuticals         0.0%         0.1%           Total Equities         1.9%         3.2%           Cash and short-term investments         2.3%         3.9%           Total investment portfolio         100.0%         165.5%           Currency forward contracts         (0.6%)         (0.6%)           Other net liabilities         (64.9%)         (64.9%)	Banking & finance	0.4%	0.7%	
Total Fixed Income Investments         9.9%         16.4%           Equities         7 <th 7<="" t<="" td=""><td>Oil &amp; gas</td><td>0.3%</td><td>0.4%</td></th>	<td>Oil &amp; gas</td> <td>0.3%</td> <td>0.4%</td>	Oil & gas	0.3%	0.4%
Equities           Technology         0.6%         1.1%           Oil & gas         0.6%         0.9%           Services         0.3%         0.5%           Media         0.3%         0.5%           Consumer products         0.1%         0.1%           Pharmaceuticals         0.0%         0.1%           Total Equities         1.9%         3.2%           Cash and short-term investments         2.3%         3.9%           Total investment portfolio         100.0%         165.5%           Currency forward contracts         (0.6%)         0.6%)           Other net liabilities         (64.9%)         (64.9%)	Pharmaceuticals	0.1%	0.2%	
Technology       0.6%       1.1%         Oil & gas       0.6%       0.9%         Services       0.3%       0.5%         Media       0.3%       0.5%         Consumer products       0.1%       0.1%         Pharmaceuticals       0.0%       0.1%         Total Equities       1.9%       3.2%         Cash and short-term investments       2.3%       3.9%         Total investment portfolio       100.0%       165.5%         Currency forward contracts       (0.6%)       0.6%)         Other net liabilities       (64.9%)       (64.9%)	Total Fixed Income Investments	9.9%	16.4%	
Oil & gas       0.6%       0.9%         Services       0.3%       0.5%         Media       0.3%       0.5%         Consumer products       0.1%       0.1%         Pharmaceuticals       0.0%       0.1% <b>Total Equities</b> 1.9%       3.2%         Cash and short-term investments       2.3%       3.9%         Total investment portfolio       100.0%       165.5%         Currency forward contracts       (0.6%)       (0.6%)         Other net liabilities       (64.9%)       (64.9%)	Equities			
Services         0.3%         0.5%           Media         0.3%         0.5%           Consumer products         0.1%         0.1%           Pharmaceuticals         0.0%         0.1%           Total Equities         1.9%         3.2%           Cash and short-term investments         2.3%         3.9%           Total investment portfolio         100.0%         165.5%           Currency forward contracts         (0.6%)         (0.6%)           Other net liabilities         (64.9%)         (64.9%)	Technology	0.6%	1.1%	
Media         0.3%         0.5%           Consumer products         0.1%         0.1%           Pharmaceuticals         0.0%         0.1% <b>Total Equities</b> 1.9%         3.2%           Cash and short-term investments         2.3%         3.9%           Total investment portfolio         100.0%         165.5%           Currency forward contracts         (0.6%)         0.6%)           Other net liabilities         (64.9%)         0.6%)	Oil & gas	0.6%	0.9%	
Consumer products0.1%0.1%Pharmaceuticals0.0%0.1%Total Equities1.9%3.2%Cash and short-term investments2.3%3.9%Total investment portfolio100.0%165.5%Currency forward contracts(0.6%)Other net liabilities(64.9%)	Services	0.3%	0.5%	
Pharmaceuticals0.0%0.1%Total Equities1.9%3.2%Cash and short-term investments2.3%3.9%Total investment portfolio100.0%165.5%Currency forward contracts(0.6%)Other net liabilities(64.9%)	Media	0.3%	0.5%	
Total Equities1.9%3.2%Cash and short-term investments2.3%3.9%Total investment portfolio100.0%165.5%Currency forward contracts(0.6%)Other net liabilities(64.9%)	Consumer products	0.1%	0.1%	
Cash and short-term investments2.3%3.9%Total investment portfolio100.0%165.5%Currency forward contracts(0.6%)Other net liabilities(64.9%)	Pharmaceuticals	0.0%	0.1%	
Total investment portfolio100.0%165.5%Currency forward contracts(0.6%)Other net liabilities(64.9%)	Total Equities	1.9%	3.2%	
Currency forward contracts(0.6%)Other net liabilities(64.9%)	Cash and short-term investments	2.3%	3.9%	
Other net liabilities (64.9%)	Total investment portfolio	100.0%	165.5%	
	Currency forward contracts		(0.6%)	
Total net asset value 100.0%	Other net liabilities		(64.9%)	
	Total net asset value		100.0%	

## **Top 25 Holdings**

	% of Portfolio	% of Net Asset Value
Cash and short-term investments	2.3%	3.9%
Tibco Software, Inc. (Balboa Merger Sub) Term Loan B-1	1.7%	2.9%
Fieldwood Energy LLC Exit Term Loan	1.7%	2.8%
Cengage Learning Acquisitions, Inc. (Thomson Learning) Exit Term Loan	1.5%	2.5%
Sequa Corporation Initial Term Loan	1.4%	2.3%
Uber Technologies, Inc. Term Loan	1.3%	2.1%
Caesars Resort Collection First Lien Term Loan	1.2%	2.0%
Syniverse Holdings, Inc. Tranche C Term Loan	1.2%	2.0%
Scientific Games Corp Term B-5 Loan	1.2%	2.0%
Intelsat (Luxembourg) S.A. 8.125% June 1, 2023	1.2%	1.9%
Life Time Fitness Inc. Term Loan B	1.2%	1.9%
Infor (US) Inc. (Lawson Software) Tranche B-2 Term Loan	1.2%	1.9%
Intelsat Jackson Holdings S.A. Term Loan B3	1.1%	1.9%
Albertson's LLC Term Loan B-7	1.1%	1.9%
Pharmaceutical Product Development, Inc. (PPDI) Term Loan B	1.1%	1.8%
Cumulus Media, Inc. Exit Term Loan	1.1%	1.8%
Sprint Corp. 7.125% due June 15, 2024	1.1%	1.8%
Communication Sales and Leasing Inc. Term Loan B	1.0%	1.7%
Micro Focus International Plc Term Loan B	1.0%	1.6%
Capital Automotive L.P. Term Loan (Second Lien)	1.0%	1.6%
CenturyLink Term Loan B	1.0%	1.6%
Avaya Inc. Tranche B Term Loan	0.9%	1.5%
BMC Software Inc. Term Loan B	0.9%	1.5%
Tibco Software, Inc. (Balboa Merger Sub) 11.375% due December 1, 2021	0.9%	1.5%
Sprint Corp. 7.25% due September 15, 2021	0.9%	1.5%
Total	30.2%	49.9%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.