

**Symphony Floating Rate Senior Loan Fund**  
**Summary of Investment Portfolio as at September 30, 2019**

Total Net Asset Value

\$ 92,762,930

**Portfolio Composition**

	% of Portfolio	% of Net Asset Value
<b>Senior Loan</b>		
Technology	15.5%	25.3%
Telecommunications	9.0%	14.8%
Media	8.0%	13.3%
Consumer products	8.0%	13.2%
Services	7.8%	12.7%
Hotels, restaurants & leisure	6.1%	10.1%
Healthcare providers & services	5.7%	9.5%
Oil & gas	5.6%	9.2%
Other	5.3%	8.8%
Material	3.0%	5.0%
Banking & finance	2.9%	4.8%
Retail	2.6%	4.4%
Electronics	2.2%	3.6%
Healthcare equipment & supplies	1.7%	2.8%
Pharmaceuticals	1.3%	2.1%
<b>Total Senior Loans</b>	<b>84.7%</b>	<b>139.6%</b>
<b>Fixed Income Investments</b>		
Telecommunications	3.9%	6.5%
Technology	1.5%	2.5%
Consumer products	0.8%	1.4%
Media	0.8%	1.4%
Hotels, restaurants & leisure	0.5%	0.8%
Banking & finance	0.4%	0.7%
Pharmaceuticals	0.2%	0.3%
<b>Total Fixed Income Investments</b>	<b>8.1%</b>	<b>13.6%</b>
<b>Equities</b>		
Media	1.0%	1.6%
Oil & gas	0.4%	0.7%
Technology	0.3%	0.5%
Services	0.1%	0.2%
Consumer products	0.1%	0.1%
Pharmaceuticals	0.0%	0.1%
<b>Total Equities</b>	<b>1.9%</b>	<b>3.2%</b>
Cash and short-term investments	5.3%	8.8%
Total investment portfolio	100.0%	165.2%
Other net liabilities		(65.2%)
<b>Total net asset value</b>		<b>100.0%</b>

### Top 25 Holdings

	% of Portfolio	% of Net Asset Value
Cash and short-term investments	5.3%	8.8%
Fieldwood Energy LLC Exit Term Loan	1.7%	2.9%
Cengage Learning Acquisitions, Inc. (Thomson Learning) Exit Term Loan	1.6%	2.7%
Frontier Communications Term Loan B	1.5%	2.4%
Clear Channel Outdoor Holdings, Inc. Term Loan B	1.4%	2.4%
Sequa Corporation Initial Term Loan	1.4%	2.4%
Avaya Inc. Tranche B Term Loan	1.3%	2.1%
Uber Technologies, Inc. Term Loan	1.3%	2.1%
Syniverse Holdings, Inc. Tranche C Term Loan	1.3%	2.1%
Scientific Games Corp Term B-5 Loan	1.2%	2.1%
Life Time Fitness Inc. Term Loan B	1.2%	2.0%
Intelsat Jackson Holdings S.A. Term Loan B3	1.2%	2.0%
Infor (US) Inc. (Lawson Software) Tranche B-2 Term Loan	1.2%	2.0%
Pharmaceutical Product Development, Inc. (PPDI) Term Loan B	1.1%	1.8%
Caesars Resort Collection First Lien Term Loan	1.1%	1.8%
Communication Sales and Leasing Inc. Term Loan B	1.0%	1.7%
CenturyLink Term Loan B	1.0%	1.6%
Micro Focus International PLC Term Loan B	1.0%	1.6%
Kindred at Home Hospice (Gentiva Health Services, Inc.) Term Loan B	0.9%	1.6%
Revlon Consumer Products Corporation Term Loan B	0.9%	1.5%
Sprint Corp. 7.25% due September 15, 2021	0.9%	1.5%
Frontier Communications Corp. 8.00% due April 1, 2027	0.9%	1.5%
Formula One Group (Alpha Topco), Term Loan B	0.9%	1.5%
California Resources Corporation Term Loan	0.9%	1.5%
Balboa Merger Sub, Inc. (Tibco Software Inc.) 11.375% due December 1, 2021	0.9%	1.5%
<b>Total</b>	<b>33.1%</b>	<b>55.1%</b>

*The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at [www.bromptongroup.com](http://www.bromptongroup.com) within 60 days of each quarter end.*