

Symphony Floating Rate Senior Loan Fund
Summary of Investment Portfolio as at September 30, 2020

Total Net Asset Value

\$ 65,842,779

Portfolio Composition

	% of Portfolio	% of Net Asset Value
Senior Loan		
Technology	11.3%	16.8%
Telecommunications	11.1%	16.6%
Services	10.8%	16.1%
Media	9.4%	14.0%
Consumer products	8.0%	12.0%
Hotels, restaurants and leisure	7.4%	11.0%
Other	7.1%	10.7%
Healthcare providers and services	6.5%	9.7%
Oil and gas	5.3%	7.9%
Healthcare equipment and supplies	3.4%	5.0%
Material	3.2%	4.8%
Electronics	2.4%	3.6%
Banking and finance	2.1%	3.2%
Pharmaceuticals	1.9%	2.8%
Retail	1.3%	2.0%
Total Senior Loans	91.2%	136.2%
Fixed Income Investments		
Oil and gas	2.4%	3.6%
Banking and finance	0.7%	1.0%
Telecommunications	0.5%	0.8%
Media	0.4%	0.6%
Other	0.3%	0.4%
Pharmaceuticals	0.3%	0.4%
Total Fixed Income Investments	4.6%	6.8%
Equities		
Media	0.7%	1.1%
Services	0.7%	1.0%
Technology	0.4%	0.7%
Consumer products	0.1%	0.1%
Oil and gas	0.1%	0.1%
Total Equities	2.0%	3.0%
Cash and short-term investments	2.2%	3.3%
Total investment portfolio	100.0%	149.3%
Currency forward contracts		0.5%
Other net liabilities		(49.8%)
Total net asset value		100.0%

Top 25 Holdings

	% of Portfolio	% of Net Asset Value
Cash and short-term investments	2.2%	3.3%
Frontier Communications Term Loan B-1	1.9%	2.8%
Intelsat Jackson Holdings S.A. Term Loan B3	1.6%	2.4%
Caesars Resort Collection First Lien Term Loan	1.6%	2.4%
Clear Channel Outdoor Holdings, Inc. Term Loan B	1.4%	2.1%
Life Time Fitness Inc. Term Loan B	1.3%	2.0%
Refinitiv Term Loan B	1.3%	2.0%
Mc-Graw-Hill Education Holdings Inc. Term Loan B	1.3%	1.9%
Calpine Corporation Term Loan B-5	1.3%	1.9%
Pacific Gas & Electric 4.750% due February 15, 2044	1.2%	1.8%
Uber Technologies, Inc. Term Loan B	1.2%	1.7%
Cequel Communications, LLC (Cebridge) Term Loan B3	1.1%	1.7%
Concordia Healthcare Corp Exit Term Loan	1.1%	1.7%
Gentiva Health Services, Inc. First Lien Term Loan B	1.1%	1.6%
Micro Focus International Plc Initial Term Loan	1.1%	1.6%
Greeneden U.S. Holdings II, LLC (Genesys Telecom Holdings U.S., Inc.) Term Loan B	1.0%	1.6%
Lions Gate Entertainment Corp. Term Loan B	1.0%	1.5%
Syniverse Holdings, Inc. Tranche Term Loan C	1.0%	1.5%
Formula One Group (Alpha Topco), Term Loan B	1.0%	1.5%
Meredith Corporation Tranche Term Loan B-2	1.0%	1.5%
Coty Inc. Term Loan A	1.0%	1.5%
Navistar Inc., Tranche Term Loan B	1.0%	1.5%
Fieldwood Energy LLC Exit Term Loan	0.9%	1.4%
GFL Environmental Term Loan	0.9%	1.4%
CBS Outdoor Americas Inc. Term Loan B	0.9%	1.4%
Total	30.4%	45.7%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.