

Brompton Tech Leaders Income ETF



July, 2019

Overview

This ETF provides high monthly distributions and the opportunity for capital appreciation through an investment in an actively managed portfolio of large cap global technology companies selected by Brompton, complemented by a proprietary covered call options program.

Our PM team first uses top-down analysis to identify attractive sub-sectors. Rigorous fundamental analysis focuses the portfolio on 15 to 20 global technology companies which offer a combination of growth and value characteristics. The PMs then set the level of covered call writing (up to a maximum of 33%), with the goal of optimizing distributable cash and total returns.

GLOBAL TRENDS DRIVING LONG TERM GROWTH IN TECHNOLOGY

1 Technological Disruption

Emerging technologies have the potential to reshape the world in which we live and work. These technologies are referred to as disruptive technologies.

McKinsey Global Institute estimates that disruptive technologies have the potential to create significant economic impact.

Estimated Potential Annual Economic Impact in 2025¹



Mobile Internet
U.S.\$4 trillion - \$11 trillion



Internet of Things
U.S.\$3 trillion - \$6 trillion



Cloud Technologies
U.S.\$2 trillion - \$6 trillion

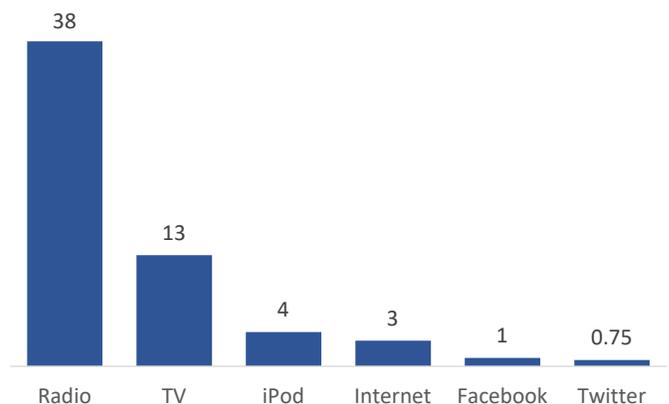
2 Accelerating Adoption of New Technology

New technologies are being adopted more quickly than in the past and this trend is expected to continue.

It took 38 years before the radio was used by 50 million people and more recently it only took 9 months for Twitter to reach 50 million people.²

Adoption of New Technologies is Accelerating²

Time to reach 50 million users (in years)



¹ McKinsey Global Institute: *Disruptive technologies: Advances that will transform life, business, and the global economy*, May 2013.

² Dobbs, Manyika, and Woetzel, *No Ordinary Disruption: The Four Global Forces Breaking All the Trends*, May 2015.

3 Demographics

The Millennial generation is on the cusp of surpassing the Baby Boomers as the largest living adult generation in the U.S.³

As the first digitally-native generation, Millennials will continue to fuel demand for technology-based services such as mobile applications, user experiences, social media/messaging and e-commerce.

4 Digitization

Digitization refers to the trend in automating processes or converting offline processes to online.

Digitization can lead to cost savings, increased convenience, better user experiences and the disruption of traditional business models.

Portfolio Holdings: June 30, 2019⁴



About Brompton Funds Limited⁵

- Provides investment management and portfolio advisory services to 16 investment funds
- Brompton manages over \$2 billion in AUM, including approximately \$1.6 billion in covered call option writing strategies
- Well-respected, engaged Independent Review Committee

For more information, please contact your Investment advisor or visit www.bromptongroup.com

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³ Pew Research Center tabulations of U.S. Census Bureau population projects released Dec 2014 and 2015 population estimates.

⁴ Please visit www.bromptongroup.com for a portfolio summary.

⁵ Please visit www.bromptongroup.com for further information.

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