

Brompton Tech Leaders Income ETF
Summary of Investment Portfolio as at September 30, 2019

Total Net Asset Value \$ 37,803,986

Portfolio Composition	% of Portfolio	% of Net Asset Value
Data Processing & Outsourced Services	17.9%	18.1%
Application Software	10.2%	10.2%
Communications Equipment	10.0%	10.1%
Systems Software	8.8%	8.9%
Semiconductors	7.6%	7.7%
Technology Distributors	6.5%	6.6%
Electronic Equipment & Instruments	6.3%	6.4%
Technology Hardware	5.8%	5.9%
Electronic Components	5.4%	5.5%
Internet & Direct Marketing Retail	5.1%	5.2%
Specialized REITs	4.8%	4.9%
IT Consulting & Other Services	4.5%	4.6%
Interactive Media & Entertainment	3.8%	3.8%
Semiconductor Equipment	2.7%	2.8%
Cash and short-term investments	0.6%	0.7%
Total investment portfolio	100.0%	101.4%
Other net liabilities		(1.4%)
Total Net Asset Value		100.0%

Holdings	% of Portfolio	% of Net Asset Value
Mastercard Inc	6.8%	6.8%
CDW Corp	6.5%	6.6%
Microsoft Corp	6.4%	6.5%
Fiserv Inc	6.4%	6.5%
Keysight Technologies Inc	6.3%	6.4%
Apple Inc	5.8%	5.9%
Adobe Inc	5.7%	5.8%
Motorola Solutions Inc	5.4%	5.5%
Amphenol Corp	5.4%	5.5%
Texas Instruments Inc	5.1%	5.2%
Amazon.com Inc	5.1%	5.2%
Crown Castle International Corp	4.8%	4.9%
Visa Inc	4.8%	4.8%
Cisco Systems Inc	4.6%	4.6%
Accenture PLC	4.5%	4.6%
Salesforce.Com Inc	4.4%	4.4%
Alphabet Inc	3.8%	3.8%
ASML Holding NV	2.7%	2.8%
Marvell Technology Group Ltd	2.5%	2.5%
ServiceNow Inc	2.4%	2.4%
Cash and short-term investments	0.6%	0.7%
Total	100.0%	101.4%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.