

Brompton Tech Leaders Income ETF
Summary of Investment Portfolio as at September 30, 2020

Total Net Asset Value \$ 52,224,662

Portfolio Composition	% of Portfolio	% of Net Asset Value
Application software	24.1%	24.4%
Data processing and outsourced services	13.8%	14.0%
Systems software	13.4%	13.7%
Technology hardware, storage & peripherals	10.8%	11.0%
Internet & direct marketing retail	9.1%	9.3%
Semiconductor equipment	8.7%	8.8%
Interactive media and entertainment	7.6%	7.7%
Semiconductors	6.2%	6.3%
IT consulting and other services	5.6%	5.7%
Cash and short-term investments	0.7%	0.8%
Total investment portfolio	100.0%	101.7%
Other net liabilities		(1.7%)
Total Net Asset Value		100.0%

Holdings	% of Portfolio	% of Net Asset Value
Apple Inc	8.0%	8.2%
Adobe Inc	7.9%	8.0%
ServiceNow Inc	7.6%	7.7%
Salesforce.Com Inc	6.6%	6.7%
Amazon.com Inc	6.4%	6.5%
NVIDIA Corp	6.2%	6.3%
Microsoft Corp	5.8%	5.9%
PayPal Holdings Inc	5.6%	5.7%
Accenture PLC	5.6%	5.7%
Mastercard Inc	5.6%	5.6%
ASML Holding NV	5.3%	5.4%
Synopsys Inc	5.2%	5.3%
Alphabet Inc	5.1%	5.2%
Cadence Design Systems Inc	4.4%	4.5%
KLA Corp	3.4%	3.5%
Logitech International SA	2.8%	2.8%
eBay Inc	2.7%	2.8%
Visa Inc	2.6%	2.6%
Facebook Inc	2.5%	2.5%
Cash and short-term investments	0.7%	0.8%
Total	100.0%	101.7%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.