

Brompton European Dividend Growth ETF

TSX:EDGF

FUND PROFILE

AS AT SEPTEMBER 30, 2020



TSX Symbol	EDGF
CUSIP	11222M100
Inception Date	July 21, 2017
ETF Conversion Date	Apr. 23, 2019
NAV	\$9.50
Monthly Distribution	\$0.0416
Distribution Rate ¹	5.3%
Total Assets	\$19 million
Management Fee	0.75% p.a.
Currency	Hedged to CAD
Management Style	Actively Managed
Manager/PM	Brompton Funds Limited
Auditor	PWC
Custodian	CIBC Mellon
Registered Account Eligibility?	Yes
Risk Rating	Medium

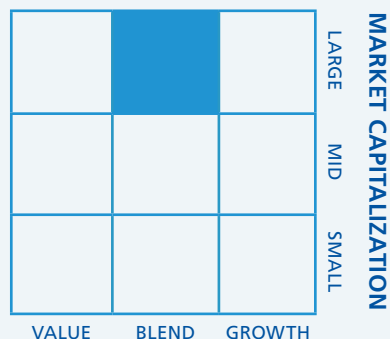
THIS ETF IS FOR INVESTORS WHO ARE:

- Seeking capital appreciation
- Seeking enhanced income and lower volatility through covered call strategies
- Comfortable with equity risk

ESG SCORE²

A-

EQUITY STYLE BOX



INVESTMENT APPROACH

BROMPTON
EXCHANGE TRADED FUNDS

Overview

This ETF provides high monthly distributions and the opportunity for capital appreciation through an investment in an actively managed portfolio of large cap European dividend growth companies selected by Brompton, complemented by a proprietary covered call options program.

Our PM team first uses top-down analysis to identify attractive sectors. Rigorous fundamental analysis focuses the portfolio on at least 20 large capitalization European equities that have a history (or in the managers view, a future likelihood) of dividend growth. The PMs then set the level of covered call writing, with the goal of optimizing distributable cash and total returns while reducing portfolio volatility.

Investment Highlights: Europe

Why Invest In Europe?

- Manager believes European equities are currently attractively valued and have significantly lagged the performance of their U.S. peers
- Diversification benefits: Europe provides an opportunity for Canadians to diversify by investing in equity sectors that are underrepresented in the Canadian market

Portfolio: September 30, 2020

Sector Allocation ³	% of Portfolio	Geographic Allocation ³	% of Portfolio
Healthcare	16.6%	France	25.0%
Information Technology	15.5%	Germany	15.5%
Consumer Discretionary	15.1%	Netherlands	14.1%
Financials	12.0%	Denmark	12.0%
Industrials	10.7%	Great Britain	11.2%
Materials	8.4%	Ireland	9.4%
Utilities	7.5%	Switzerland	6.1%
Consumer Staples	7.4%	Italy	3.8%
Real Estate	3.9%	Cash and short-term inv.	2.9%
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Top 10 Holdings	% of Portfolio
ASML Holding NV	5.9%
Kering SA	5.4%
Adidas AG	5.1%
LVMH Moet Hennessy Louis Vuitton SE	4.6%
Koninklijke Philips NV	4.5%
Air Liquide SA	4.3%
DSV Panalpina A/S	4.3%
Schneider Electric SE	4.3%
Sanofi SA	4.3%
Sika AG	4.1%
Total	46.8%

Portfolio Manager and Manager: Brompton Funds Limited



Laura Lau
BASc (Hons), CFA, DMS
Senior Vice President and Chief
Investment Officer



Michael Clare
BComm (Hons), CPA, CA, CFA
Vice President and Portfolio Manager

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including TSX traded closed-end funds and exchange-traded funds.

Historical Performance: September 30, 2020

Annual Compound Returns ⁴	YTD	1-YR	3-YR	Since Inception
Brompton European Dividend Growth ETF	(6.3%)	(2.2%)	4.5%	4.9%

⁽¹⁾ Distribution rate is based on market price on September 30, 2020. Source: Thomson Reuters.

⁽²⁾ Source: Thomson Reuters as at September 30, 2020. Reflects the weighted average ESG Score of the companies held in the portfolio. The ESG Score is an overall score of a company based on the reported information in the environmental, social and corporate governance pillars. Letter grades range from D- for the lowest ESG scores to A+ for the highest scores. See https://www.refinitiv.com/content/dam/marketing/en_us/documents/methodology/esg-scores-methodology.pdf for more information.

⁽³⁾ Totals may not equal 100% due to rounding.

⁽⁴⁾ Returns are for the periods ended September 30, 2020. Inception date July 21, 2017. The table shows the ETF's compound return for each period indicated.

Morningstar Rating reflects performance as of September 30, 2020 and is subject to change monthly. The Fund received a 4-star rating over a 3-year period out of 172 funds in the European Equity Fund Category. Morningstar rates mutual funds from one to five stars based on how well they've performed (after adjusting for risk) in comparison to similar funds. Within each fund category, the top 10% of funds receive five stars, the next 22.5% four stars, the middle 35% three stars, the next 22.5% two stars, and the bottom 10% receive one star. Funds are rated for up to three time periods—three-, five-, and 10 years—and these ratings are combined to produce an overall rating. For greater detail see www.morningstar.ca. © 2020 Morningstar Research Inc. All rights reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete, or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information. Past performance is no guarantee of future results.

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Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. Please read the prospectus before investing. The indicated rates of return are the historical annual compounded total returns including changes in unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. Exchange-traded funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.

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VALUE
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