

Brompton Global Healthcare Income & Growth ETF

TSX:HIG

FUND PROFILE

AS AT AUGUST 31, 2020

TSX Symbol	CAD Hedged	HIG
	USD	HIG.U
CUSIP	HIG	11222T105
	HIG.U	11222T204
Inception Date	HIG	Sept. 24, 2015
	HIG.U	Aug. 12, 2019
NAV	HIG	\$9.27
	HIG.U	\$9.69
Distribution Rate¹	HIG	6.5%
	HIG.U	6.2%
Monthly Distribution	HIG	\$ 0.05
	HIG.U	\$ 0.05
Total Assets	\$56 million	
Management Fee	0.75%	
Management Style	Actively Managed	
Manager/PM	Brompton Funds Limited	
Registered Account Eligibility	Yes	
Risk Rating	Medium	

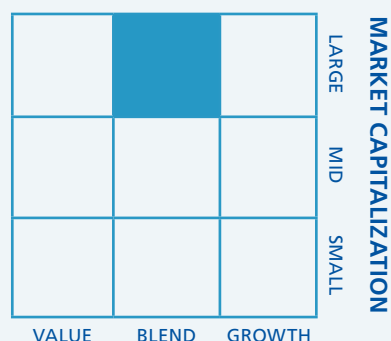
THIS ETF IS FOR INVESTORS WHO ARE:

- Seeking capital appreciation
- Seeking enhanced income and lower volatility through covered call strategies
- Comfortable with equity risk

ESG SCORE²

B+

EQUITY STYLE BOX



INVESTMENT APPROACH

BROMPTON
EXCHANGE TRADED FUNDS

Overview

This ETF provides high monthly distributions and the opportunity for capital appreciation through an investment in an actively managed portfolio of large cap global Healthcare companies selected by Brompton, complemented by a proprietary covered call options program.

Our PM team first uses top-down analysis to identify attractive sub-sectors. Rigorous fundamental analysis focuses the portfolio on 15 to 30 global Healthcare companies which offer a combination of growth and value characteristics. The PMs then actively set the level of covered call writing, with the goal of optimizing distributable cash and total returns while reducing portfolio volatility.

Investment Highlights: Healthcare Sector

Strong historical earnings growth and stable relative share performance.

Under-represented sector in the Canadian equity market; diversification benefits.

Long term trends are supportive of healthcare equities:

- **Demographics:** Aging world population is expected to result in increased demand for healthcare products and services
- **Emerging Markets:** Rising incomes should lead to higher healthcare spending
- **Innovation:** Opening up new frontiers for services, equipment and therapies
- **Mergers & Acquisitions:** Potential for added value for investors

Portfolio: August 31, 2020

Sector Allocation ³	% of Portfolio	Geographic Allocation ³	% of Portfolio
Healthcare Equipment	40.8%	United States	81.0%
Pharmaceuticals	23.8%	Denmark	3.4%
Biotechnology	12.5%	Ireland	3.4%
Life Sciences Tools and Services	10.4%	Great Britain	3.3%
Managed Healthcare	5.0%	France	3.2%
Healthcare Services	2.9%	Germany	1.7%
Healthcare Technology	1.9%	Switzerland	1.7%
Healthcare Supplies	1.9%	Netherlands	1.5%
Cash and short-term inv.	0.7%	Cash and short-term inv.	0.7%

Top 10 Holdings	% of Portfolio
Abbott Laboratories	4.7%
Edwards Lifesciences Corp.	4.4%
Agilent Technologies Inc.	3.7%
Zoetis nc.	3.7%
Becton Dickinson and Co.	3.6%
Intuitive Surgical Inc.	3.6%
IDEXX Laboratories Inc.	3.6%
Abbvie Inc.	3.5%
Thermo Fisher Scientific Inc.	3.5%
Danaher Corp.	3.4%
Total	37.7%

Portfolio Manager and Manager: Brompton Funds Limited



Laura Lau
BASc (Hons), CFA, DMS
Senior Vice President and Chief
Investment Officer



Michael Clare
BComm (Hons), CPA, CA, CFA
Vice President and Portfolio Manager

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including TSX traded closed-end funds and exchange-traded funds.

Historical Performance: August 31, 2020

Annual Compound Returns ³	YTD	1-Year	3-Year	Since Inception HIG	Since Inception HIG.U
Brompton Global Healthcare Income & Growth ETF (CAD Hedged)	0.0%	5.4%	7.4%	6.7%	-
Brompton Global Healthcare Income & Growth ETF (USD)	1.8%	7.6%	-	-	8.6%

⁽¹⁾ Distribution rate is based on market price on August 31, 2020. Source: Thomson Reuters.

⁽²⁾ Source: Thomson Reuters as at August 31, 2020. Reflects the weighted average ESG Score of the companies held in the portfolio. The ESG Score is an overall score of a company based on the reported information in the environmental, social and corporate governance pillars. Letter grades range from D- for the lowest ESG scores to A+ for the highest scores. See https://www.refinitiv.com/content/dam/marketing/en_us/documents/methodology/esg-scores-methodology.pdf for more information.

⁽³⁾ Totals may not equal 100% due to rounding.

⁽⁴⁾ Returns are for the periods ended August 31, 2020. HIG Inception date September 24, 2015. HIG .U Inception date August 12, 2019. The table shows the ETF's compound return or each period indicated.

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Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. Please read the prospectus before investing. The indicated rates of return are the historical annual compounded total returns including changes in unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. Exchange-traded funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.

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Investor Relations
PHONE 416.642.6000
TOLL FREE 1.866.642.6001
FAX 416.642.6001
info@bromptongroup.com
www.bromptonfunds.com

Address
Bay Wellington Tower,
Brookfield Place
181 Bay Street
Suite 2930, Box 793
Toronto, Ontario M5J 2T3