

Symphony Floating Rate Senior Loan Fund
Summary of Investment Portfolio as at December 31, 2018

Total Net Asset Value

\$ 106,393,024

Portfolio Composition

	% of Portfolio	% of Net Asset Value
Senior Loan		
Technology	17.1%	28.8%
Services	9.9%	16.7%
Consumer products	7.0%	11.7%
Telecommunications	6.8%	11.4%
Hotels, restaurants & leisure	6.2%	10.4%
Other	5.6%	9.4%
Oil & gas	5.4%	9.0%
Media	5.3%	8.8%
Healthcare providers & services	4.9%	8.2%
Retail	4.4%	7.3%
Banking & finance	3.6%	6.0%
Electronics	3.5%	5.8%
Material	3.3%	5.5%
Healthcare equipment & supplies	1.6%	2.7%
Pharmaceuticals	0.9%	1.4%
Total Senior Loans	85.5%	143.1%
Fixed Income Investments		
Telecommunications	4.8%	8.1%
Media	1.7%	2.8%
Technology	1.7%	2.8%
Healthcare providers & services	0.8%	1.4%
Hotels, restaurants & leisure	0.8%	1.3%
Consumer products	0.7%	1.2%
Oil & gas	0.5%	0.8%
Banking & finance	0.4%	0.6%
Pharmaceuticals	0.1%	0.2%
Total Fixed Income Investments	11.5%	19.2%
Equities		
Technology	0.5%	0.8%
Oil & gas	0.5%	0.8%
Services	0.3%	0.6%
Media	0.1%	0.2%
Consumer products	0.1%	0.1%
Pharmaceuticals	0.0%	0.1%
Healthcare providers & services	0.0%	0.0%
Electronics	0.0%	0.0%
Total Equities	1.5%	2.6%
Cash and short-term investments	1.5%	2.5%
Total investment portfolio	100.0%	167.4%
Currency forward contracts		(3.6%)
Other net liabilities		(63.8%)
Total net asset value		100.0%

Top 25 Holdings

	% of Portfolio	% of Net Asset Value
Scientific Games Corp Term B-5 Loan	1.8%	3.0%
Albertson's LLC Term Loan B-7	1.7%	2.9%
Tibco Software, Inc. (Balboa Merger Sub) Term Loan B-1	1.6%	2.6%
Cash and short-term investments	1.5%	2.5%
Fieldwood Energy LLC Exit Term Loan	1.5%	2.5%
Caesars Resort Collection First Lien Term Loan	1.5%	2.4%
Infor (US) Inc. (Lawson Software) Tranche B-2 Term Loan	1.4%	2.3%
SS&C Technologies, Inc./ Sunshine Acquisition II, Inc. Term Loan B3	1.4%	2.3%
Cengage Learning Acquisitions, Inc. (Thomson Learning) Exit Term Loan	1.3%	2.2%
Sequa Corporation Initial Term Loan	1.2%	2.1%
Intelsat (Luxembourg) S.A. 8.125% June 1, 2023	1.2%	2.0%
Uber Technologies, Inc. Term Loan	1.1%	1.9%
Syniverse Holdings, Inc. Tranche C Term Loan	1.1%	1.8%
Cumulus Media, Inc. Exit Term Loan	1.1%	1.8%
Life Time Fitness Inc. Term Loan B	1.0%	1.7%
Intelsat Jackson Holdings S.A. Term Loan B3	1.0%	1.7%
Sprint Corp. 7.125% due June 15, 2024	1.0%	1.6%
Pharmaceutical Product Development, Inc. (PPDI) Term Loan B	1.0%	1.6%
Micro Focus International Plc Term Loan B	0.9%	1.5%
Capital Automotive L.P. Term Loan (Second Lien)	0.9%	1.5%
Emdeon Inc Term Loan	0.9%	1.5%
CenturyLink Term Loan B	0.8%	1.4%
Neptune FINCO 10.125% due January 15, 2023	0.8%	1.4%
Communication Sales and Leasing Inc. Term Loan B	0.8%	1.4%
BMC Software Inc. Term Loan B	0.8%	1.4%
Total	29.3%	49.0%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.