

Brompton North American Financials Dividend ETF
Summary of Investment Portfolio as at January 31, 2019

Total Net Asset Value \$ 13,504,570

Portfolio Composition

	% of Portfolio	% of Net Asset Value
North American		
Canada		
Diversified banks	9.6%	9.7%
Life & health insurance	4.9%	5.0%
Total Canada	14.5%	14.7%
United States		
Regional banks	23.4%	23.4%
Diversified banks	14.5%	14.6%
Financial exchanges & data	9.7%	9.7%
Data Processing & outsourced services	9.3%	9.3%
Investment banking & brokerage	9.1%	9.1%
Property & casualty insurance	4.8%	4.8%
Consumer finance	4.8%	4.8%
Asset management & custody banks	4.7%	4.7%
Total United States	80.3%	80.4%
Total North American	94.8%	95.1%
Non-North American		
Insurance brokers	5.0%	5.0%
Total Non-North American	5.0%	5.0%
Cash and short-term investments	0.2%	0.2%
Total investment portfolio	100.0%	100.3%
Other net liabilities		(0.3%)
Total Net Asset Value		100.0%

Holdings

	% of Portfolio	% of Net Asset Value
First Republic Bank	5.3%	5.3%
S&P Global Inc.	5.1%	5.1%
Mastercard Incorporated	5.0%	5.1%
Bank of America Corporation	5.0%	5.0%
Toronto-Dominion Bank (The)	5.0%	5.0%
Aon plc	5.0%	5.0%
Sun Life Financial Inc.	4.9%	5.0%
PNC Financial Services Group Inc. (The)	4.8%	4.8%
Progressive Corporation (The)	4.8%	4.8%
American Express Company	4.8%	4.8%
JPMorgan Chase & Co.	4.8%	4.8%
Comerica Incorporated	4.8%	4.8%
Northern Trust Corporation	4.7%	4.7%
Citigroup Inc.	4.7%	4.7%
Bank of Montreal	4.6%	4.6%
Morgan Stanley	4.6%	4.6%
BOK Financial Corporation	4.6%	4.6%
CME Group Inc.	4.6%	4.6%
Goldman Sachs Group Inc. (The)	4.5%	4.5%
Broadridge Financial Solutions, Inc.	4.3%	4.3%
SVB Financial Group	3.9%	4.0%
Cash and short-term investments	0.2%	0.2%
Total	100.0%	100.3%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.

