

Brompton North American Financials Dividend ETF
Summary of Investment Portfolio as at November 30, 2018

Total Net Asset Value \$ 14,070,077

Portfolio Composition

	% of Portfolio	% of Net Asset Value
North American		
Canada		
Diversified banks	9.3%	9.4%
Life & health insurance	4.8%	4.9%
Total Canada	14.1%	14.3%
United States		
Regional banks	23.6%	23.8%
Diversified banks	14.3%	14.5%
Financial exchanges & data	9.9%	10.0%
Data Processing & outsourced services	8.9%	9.0%
Investment banking & brokerage	8.8%	8.9%
Consumer finance	5.1%	5.2%
Asset management & custody banks	5.1%	5.2%
Property & casualty insurance	4.6%	4.6%
Total United States	80.3%	81.2%
Total North American	94.4%	95.5%
Non-North American		
Insurance brokers	5.4%	5.4%
Total Non-North American	5.4%	5.4%
Cash and short-term investments	0.2%	0.2%
Total investment portfolio	100.0%	101.1%
Other net liabilities		(1.1%)
Total Net Asset Value		100.0%

Holdings

	% of Portfolio	% of Net Asset Value
Aon plc	5.4%	5.4%
First Republic Bank	5.2%	5.3%
American Express Company	5.2%	5.2%
PNC Financial Services Group Inc. (The)	5.1%	5.2%
CME Group Inc.	5.1%	5.2%
Northern Trust Corporation	5.1%	5.1%
JPMorgan Chase & Co.	5.0%	5.0%
Sun Life Financial Inc.	4.9%	4.9%
Bank of America Corporation	4.8%	4.9%
Toronto-Dominion Bank (The)	4.8%	4.8%
S&P Global Inc.	4.7%	4.8%
Mastercard Incorporated	4.6%	4.7%
Morgan Stanley	4.6%	4.7%
Comerica Incorporated	4.6%	4.7%
Progressive Corporation (The)	4.6%	4.6%
Citigroup Inc.	4.6%	4.6%
Bank of Montreal	4.5%	4.6%
BOK Financial Corporation	4.5%	4.5%
Broadridge Financial Solutions, Inc.	4.3%	4.3%
SVB Financial Group	4.1%	4.2%
Goldman Sachs Group Inc. (The)	4.1%	4.2%
Cash and short-term investments	0.2%	0.2%
Total	100.0%	101.1%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.

