

Why Brompton ETFs?

Active Management

- The investment process begins with a rigorous top down analysis of the economy and markets to identify key trends, themes, and inflection points that we believe will drive strong risk-adjusted returns for our investors. The result of this process is a sector and/or sub-sector allocation for all of our portfolios.
- The Portfolio Management teams use a series of data analytics and quantitative filters to identify the highest quality securities in the relevant investment universe. The equity investment process seeks to find securities with the best combination of earnings growth potential, balance sheet strength, free cash flow generation and attractive valuation metrics, while also assessing volatility. Additionally, dividend growth outperforms over the long run, so whenever possible we make this a core feature of our strategies.
- After rigorous fundamental analysis, including the use of proprietary and independent research as well as decades of experience, securities are selected for the relevant strategy. Our portfolios are actively managed.
- Brompton's equity mandates employ an active covered call program to generate additional income, while lowering volatility. Call programs are complex, time consuming and are not practical for most investors or advisors to execute on their own.
- Brompton's fixed income mandates focus on high-income asset classes and employ best-in-class, specialty advisors.

Better Risk-Adjusted Returns

- Brompton's investment strategies seek to achieve the same or higher return than their relevant index, while taking a lower amount of risk. Risk is defined as volatility of portfolio returns.
- In simple terms, we want the highest possible return for a risk level that is at, or lower than, the index. Our strategies target a Sharpe Ratio that is higher than the index.

Monthly Distributions

- Brompton's products offer meaningful monthly distributions which is a feature lacking in many investment products. We believe that distributions provide optionality to investors and this is an important benefit which sets us apart.
- Investors can decide to reinvest some or all of their monthly distribution through the DRIP to stay invested. But investors that choose to take their distributions in cash effectively de-risk their investment by taking some "money off the table" each month. Since Brompton's inception, we have paid out over \$2.5 billion in distributions to our investors.

Low Cost

- Brompton seeks to provide attractive fund features at MERs that are lower than comparable mutual funds or similar active products with comparable features (such as covered call programs).
- Brompton has been a pioneer in low costs products. We have been providing investment solutions with low management fees for over 20 years, long before it was fashionable to have low costs.

Service

- Brompton's team is always available to service its clients and provide support with regard to product and industry knowledge. The team routinely provides educational materials on the industry and our products via conference calls, recorded presentations and website materials. Most importantly, we are always ready and available to meet with advisers and speak with investors.
- Brompton has been providing investment solutions for over 20 years and our team is deep and highly skilled. Brompton manages over \$2 billion in assets for our clients. Brompton is independently owned and operated by the team and we are proud to co-invest in our products alongside our investors.

This document is for information purposes only and does not constitute an offer to sell or a solicitation to buy the securities referred to herein. The opinions contained in this report are solely those of Brompton Funds Limited ("BFL") and are subject to change without notice. BFL makes every effort to ensure that the information has been derived from sources believed to be reliable and accurate. However, BFL assumes no responsibility for any losses or damages, whether direct or indirect which arise from the use of this information. BFL is under no obligation to update the information contained herein. The information should not be regarded as a substitute for the exercise of your own judgment. Please read the Funds' public filings available at www.sedar.com before investing. Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the Funds, to the future outlook of the Funds and anticipated events or results and may include statements regarding the future financial performance of the Funds. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.

BROMPTON
FUNDS

VALUE
INTEGRITY
PERFORMANCE
THE FOUNDATION FOR EXCELLENCE

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