

**Brompton Global Real Assets Dividend ETF**  
**Summary of Investment Portfolio as at September 30, 2020**

Total Net Asset Value \$ 1,031,841

<b>Portfolio Composition</b>	<b>% of Portfolio</b>	<b>% of Net Asset Value</b>
<b>North America</b>		
<b>Canada</b>		
Utilities	4.3%	4.4%
Industrials	3.7%	3.9%
Materials	3.3%	3.5%
Energy	3.1%	3.3%
<b>United States</b>		
Utilities	12.2%	12.8%
Real estate	11.2%	11.9%
Communication services	6.9%	7.3%
Energy	6.6%	7.0%
Industrials	4.3%	4.6%
Materials	3.6%	3.8%
<b>Total North America</b>	<b>59.2%</b>	<b>62.5%</b>
<b>Europe</b>		
<b>Denmark</b>		
Utilities	4.6%	4.9%
<b>Germany</b>		
Real estate	4.4%	4.7%
Utilities	4.4%	4.6%
<b>Italy</b>		
Utilities	4.3%	4.6%
<b>Spain</b>		
Utilities	4.3%	4.5%
Industrials	3.4%	3.6%
<b>Total Europe</b>	<b>25.4%</b>	<b>26.9%</b>
<b>Asia</b>		
<b>Hong Kong</b>		
Industrials	2.9%	3.1%
Utilities	2.6%	2.7%
<b>Japan</b>		
Communication services	2.8%	3.0%
<b>Total Asia</b>	<b>8.3%</b>	<b>8.8%</b>
Cash and short-term investments	7.1%	7.5%
Total Investment Portfolio	100.0%	105.7%
Other net liabilities		(5.7%)
<b>Total Net Asset Value</b>		<b>100.0%</b>

<b>Top 25 Holdings</b>	<b>% of Portfolio</b>	<b>% of Net Asset Value</b>
Cash and short-term investments	7.1%	7.5%
Orsted A/S	4.6%	4.9%
Vonovia SE	4.4%	4.7%
RWE AG	4.4%	4.6%
Enel SpA	4.3%	4.6%
Union Pacific Corp	4.3%	4.6%
Iberdrola SA	4.3%	4.5%
Nextera Energy Inc	4.2%	4.5%
Brookfield Infrastructure Partners LP	4.2%	4.4%
American Water Works Company Inc	4.1%	4.3%
Prologis Inc	4.1%	4.2%
CMS Energy Corp	3.8%	4.0%
Canadian Pacific Railway Ltd	3.7%	3.9%
Equinix Inc	3.7%	3.9%
Air Products and Chemicals Inc	3.6%	3.8%
Verizon Communications Inc	3.6%	3.8%
Williams Companies Inc	3.6%	3.8%
American Tower Corp	3.5%	3.7%
Ferrovial SA	3.4%	3.6%
Franco-Nevada Corp	3.3%	3.5%
AT&T Inc	3.3%	3.5%
TC Energy Corp	3.2%	3.4%
ONEOK Inc	3.0%	3.2%
MTR Corp Ltd	2.9%	3.1%
KDDI Corp	2.8%	3.0%
<b>Total</b>	<b>97.4%</b>	<b>103.0%</b>

*The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at [www.bromptongroup.com](http://www.bromptongroup.com) within 60 days of each quarter end.*