

# Brompton Global Dividend Growth ETF

**TSX:BDIV**

## FUND PROFILE

AS AT AUGUST 31, 2021

TSX Symbol	BDIV
CUSIP	11221W109
Inception Date	Oct. 17, 2018
<hr/>	
NAV	\$21.77
Monthly Distribution	\$0.10
Distribution Rate <sup>1</sup>	5.5%
Total Assets	\$74 million
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Management Fee	0.75% p.a.
Currency	Hedged to CAD
Management Style	Actively Managed
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Manager/PM	Brompton Funds Limited
Auditor	PWC
Custodian	CIBC Mellon
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Registered Account Eligibility?	Yes
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Risk Rating	Medium

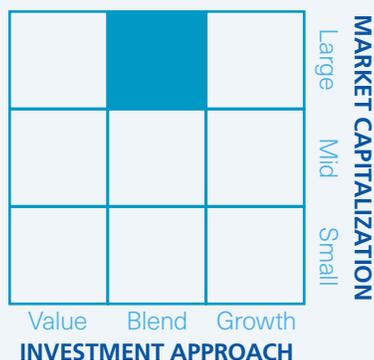
## THIS ETF IS FOR INVESTORS WHO ARE:

- Seeking capital appreciation
- Seeking enhanced income and lower volatility through covered call strategies
- Comfortable with equity risk

**ESG SCORE<sup>2</sup>**

**B+**

## EQUITY STYLE BOX



**BROMPTON**  
EXCHANGE TRADED FUNDS

## Overview

This ETF provides high monthly distributions and the opportunity for capital appreciation through an investment in an actively managed portfolio of large-cap global dividend growth companies selected by Brompton, complemented by a proprietary covered call options program.

Our PM team first uses a top-down analysis to identify attractive sectors. Rigorous fundamental analysis focuses the portfolio on Global Dividend Growth Companies with a market capitalization of at least \$10 billion, that have a history (or in the Manager's view a future likelihood) of dividend growth. The PMs then set the level of covered call writing, with the goal of optimizing distributable cash and total returns, while reducing portfolio volatility.

## Investment Highlights: Global Dividend Growers

- Global Dividend Growth shares have outperformed the MSCI World Index over the past 10 years, with less risk<sup>3</sup>
- Opportunity to access a broader universe of high quality global companies that are expected to achieve strong earnings growth, leading to potential share price appreciation and increased dividends
- Global equities provide opportunity for Canadians to diversify in sectors that are underrepresented in Canada

## Portfolio: August 31, 2021

Sector Allocation <sup>4</sup>	% of NAV	Geographic Allocation <sup>4</sup>	% of NAV
Information Technology	19.7%	United States	70.2%
Financials	17.1%	France	8.4%
Consumer Discretionary	13.2%	Ireland	5.9%
Industrials	11.7%	Canada	4.5%
Real Estate	9.0%	Netherlands	3.3%
Consumer Staples	7.6%	Switzerland	3.1%
Materials	5.5%	Great Britain	2.5%
Healthcare	5.1%	Germany	2.2%
Communication Services	4.8%	Cash & short-term inv.	1.0%
Energy	4.4%	Other net liabilities	(1.1%)
Utilities	2.0%		
Cash & short-term inv.	1.0%		
Other net liabilities	(1.1%)		

Top 10 Holdings	% of NAV
Morgan Stanley	3.7%
ASML Holding NV	3.3%
Microsoft Corp	3.2%
Johnson Controls International PLC	3.1%
Mid-America Apartment Communities Inc	3.1%
Target Corp	3.1%
Sika AG	3.1%
SBA Communications Corp	3.0%
Goldman Sachs Group Inc	3.0%
Robert Half International Inc	2.9%
<b>Total</b>	<b>31.5%</b>



**Laura Lau**  
**BASc (Hons), CFA, DMS**  
 Senior Vice President and Chief Investment Officer



**Michael Clare**  
**BComm (Hons), CPA, CA, CFA**  
 Vice President and Portfolio Manager

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds. Our funds are designed to address investors' cash flow requirements and to provide them with value-added diversification strategies. Since inception, Brompton has paid out over \$2.8 billion in distributions. For those investors that do not require the regular cash flow, our funds also offer commission-free distribution reinvestment plans for additional units in the funds. For the level of service provided, our funds are known for their low management fees and costs.

Historical Performance: August 31, 2021

Annual Compound Returns <sup>5</sup>	YTD	1-YR	Since Inception
Brompton Global Dividend Growth ETF	14.9%	21.9%	9.5%

<sup>(1)</sup> Distribution rate is based on August 31, 2021 closing market price. Source: Thomson Reuters.

<sup>(2)</sup> Source: Thomson Reuters as at August 31, 2021. Reflects the weighted average ESG Score of the companies held in the portfolio. The ESG Score is an overall score of a company based on the reported information in the environmental, social and corporate governance pillars. Letter grades range from D- for the lowest ESG scores to A+ for the highest scores. See [https://www.refinitiv.com/content/dam/marketing/en\\_us/documents/methodology/essg-scores-methodology.pdf](https://www.refinitiv.com/content/dam/marketing/en_us/documents/methodology/essg-scores-methodology.pdf) for more information.

<sup>(3)</sup> Source: MSCI as at December 31, 2020.

<sup>(4)</sup> Totals may not equal 100% due to rounding.

<sup>(5)</sup> Returns are for the periods ended August 31, 2021 and are unaudited. Inception date October 17, 2018. The table shows the ETF's compound returns for each period indicated. Past performance does not necessarily indicate how the Fund will perform in the future. The information shown is based on Net Asset Value per unit and assumes that distributions made by the Fund on its units in the period shown were reinvested at Net Asset Value per unit in additional units of the Fund.

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Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. The indicated rates of return are the historical annual compounded total returns including changes in unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. Please read the prospectus before investing. Exchange-traded funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.