

Brompton North American Financials Dividend ETF
Summary of Investment Portfolio as at September 30, 2021

Total Net Asset Value \$ 38,186,944

Portfolio Composition	% of Net Asset Value
Canada	
Diversified Banks	9.8%
Asset Management and Custody Banks	5.9%
Life and Health Insurance	3.7%
Total Canada	19.4%
United States	
Investment Banking and Brokerage	15.4%
Diversified Banks	14.3%
Financial Exchanges	10.0%
Data Processing and Outsourced Services	9.8%
Regional Banks	9.2%
Asset Management and Custody Banks	8.9%
Consumer Finance	5.2%
Life and Health Insurance	4.0%
Property and Casualty Insurance	2.3%
Multi-Sector Holdings	2.1%
Total United States	81.2%
Cash and short-term investments	0.3%
Other net liabilities	(0.9%)
Total Net Asset Value	100.0%

Holdings	% of Net Asset Value
Goldman Sachs Group Inc	7.1%
Brookfield Asset Management Inc	5.9%
Morgan Stanley	5.3%
Bank of America Corp	5.1%
Nasdaq Inc	5.1%
Royal Bank of Canada	5.0%
S&P Global Inc	4.9%
Citigroup Inc	4.9%
Paychex Inc	4.9%
Visa Inc	4.9%
National Bank of Canada	4.8%
Blackstone Inc	4.5%
BlackRock Inc	4.4%
JPMorgan Chase & Co	4.3%
First Republic Bank	4.1%
MetLife Inc	4.0%
Manulife Financial Corp	3.7%
Raymond James Financial Inc	3.0%
American Express Co	2.7%
SVB Financial Group	2.6%
Fifth Third Bancorp	2.5%
Capital One Financial Corp	2.5%
Cincinnati Financial Corp	2.3%
Berkshire Hathaway Inc	2.1%
Cash and short-term investments	0.3%
Total	100.9%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available within 60 days of each quarter end.