

## FUND PROFILE

AS AT SEPTEMBER 30, 2021

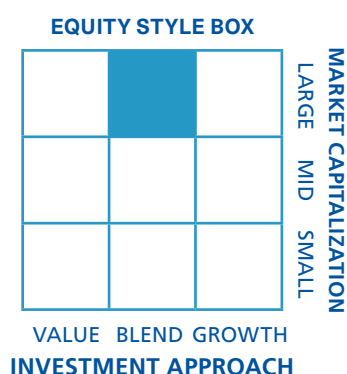
<b>TSX Symbol</b>	BREA
<b>CUSIP</b>	11223H1001
<b>Inception Date</b>	Apr. 30, 2020
<b>NAV</b>	\$22.81
<b>Distribution Rate<sup>1</sup></b>	4.4%
<b>Monthly Distribution</b>	\$ 0.08333
<b>Total Assets</b>	\$6 million
<b>Management Fee</b>	0.75% p.a.
<b>Management Style</b>	Actively Managed
<b>Manager/PM</b>	Brompton Funds Limited
<b>Registered Account Eligibility?</b>	Yes
<b>Risk Rating</b>	Medium

## THIS ETF IS FOR INVESTORS WHO ARE:

- Seeking capital appreciation
- Seeking enhanced income and lower volatility through covered call strategies
- Comfortable with equity risk

## ESG SCORE<sup>2</sup>

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## Overview

This ETF provides high monthly distributions and the opportunity for capital appreciation by investing in an actively managed portfolio of Global Real Assets companies (primarily real estate, utilities, infrastructure, resources) selected by Brompton, complemented by a proprietary covered call options program to enhance monthly income. Our Portfolio Management team first uses a top-down analysis to identify attractive sub-sectors. Rigorous fundamental analysis focuses the portfolio on well-positioned, large-capitalization Real Assets issuers. The Portfolio Managers then actively set the level of covered call writing with the goal of optimizing distributable cash and total returns, and reducing portfolio volatility.

## Investment Highlights: Global Real Assets

- Diversified Real Assets equities can offer better risk-adjusted returns compared to both the broad market or focused investments in Real Estate, Utilities, or Infrastructure.
- Real Assets companies have highly visible revenue streams that produce stable cash flows and consistent, attractive dividends.
- Real Assets equities growth is driven by continuing long-term trends including heightened investor focus on dividends, increasing government outsourcing, 5G rollout and cloud growth, urban intensification
- Brompton's global expertise can help navigate the challenges & opportunities available across Global Real Assets equities segments (Utilities, Infrastructure, Real Estate, Resources and related sectors).

## Portfolio: September 30, 2021

Sector Allocation <sup>3</sup>	% of NAV	Geographic Allocation <sup>3</sup>	% of NAV
Real Estate	29.5%	United States	51.1%
Energy	20.9%	Canada	25.2%
Communication Services	15.2%	Spain	7.2%
Industrials	14.8%	Great Britain	7.1%
Utilities	12.4%	France	3.8%
Materials	7.4%	Denmark	3.3%
Cash & short-term inv.	0.8%	Ireland	2.5%
Other net liabilities	(1.0%)	Cash & short-term inv.	0.8%
		Other net liabilities	(1.0%)

Top 10 Holdings	% of NAV
Extra Space Storage Inc	4.7%
ONEOK Inc	4.7%
Mid-America Apartment Communities Inc	4.6%
Prologis Inc	4.5%
Brookfield Infrastructure Partners LP	4.5%
Comcast Corp	4.5%
SBA Communications Corp	4.4%
Williams Companies Inc	4.3%
American Water Works Company Inc	4.3%
Waste Connections Inc	4.1%
<b>Total</b>	<b>44.6%</b>



**Laura Lau**

**BASc (Hons), CFA, DMS**

Senior Vice President  
Chief Investment Officer



**Maggie Meng**

**BComm, CPA, CGA, CFA**

Investment Analyst

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds. Our funds are designed to address investors' cash flow requirements and to provide them with value-added diversification strategies. Since inception, Brompton has paid out over \$2.8 billion in distributions. For those investors that do not require the regular cash flow, our funds also offer commission-free distribution reinvestment plans for additional units in the funds. For the level of service provided, our funds are known for their low management fees and costs.

## Historical Performance: September 30, 2021

Annual Compound Returns <sup>4</sup>	YTD	1-YR	Since Inception
Brompton Global Real Assets Dividend ETF	9.3%	15.6%	14.8%

<sup>(1)</sup> Distribution rate based on September 30, 2021 closing market price. Source: Thomson Reuters.

<sup>(2)</sup> Source: Thomson Reuters as at September 30, 2021. Reflects the weighted average ESG Score of the companies held in the portfolio. The ESG Score is an overall score of a company based on the reported information in the environmental, social and corporate governance pillars. Letter grades range from D- for the lowest ESG scores to A+ for the highest scores. See [https://www.refinitiv.com/content/dam/marketing/en\\_us/documents/methodology/esg-scores-methodology.pdf](https://www.refinitiv.com/content/dam/marketing/en_us/documents/methodology/esg-scores-methodology.pdf) for more information.

<sup>(3)</sup> Totals may not equal 100% due to rounding.

<sup>(4)</sup> Returns are for the periods ended September 30, 2021 and are unaudited. Inception date April 30, 2020. The table shows the ETF's compound returns for each period indicated. Past performance does not necessarily indicate how the ETF will perform in the future. The information shown is based on Net Asset Value per unit and assumes that distributions made by the ETF on its units in the period shown were reinvested at Net Asset Value per unit in additional units of the ETF.

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Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. Please read the prospectus before investing. The indicated rates of return are the historical annual compounded total returns including changes in unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. Exchange traded funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.