

FUND DETAILS

AS AT SEPTEMBER 30, 2021

TSX Symbol	GDV; GDV.PR.A
Total Assets	\$151 million
Inception Date	June 15, 2018
NAV - Class A	\$10.84
Redemption Price - Pref	\$10.00
Management Fee	0.85% p.a.
Pref Credit Rating	Pfd-3 (high)

Distributions per share:

Class A	\$0.10 monthly/\$1.20 p.a
Preferred	\$0.125 quarterly/\$0.50 p.a.

Distribution Rate (Class A)	9.7% ¹
Distribution Rate (Pref)	4.8% ¹
Maturity Date ²	June 30, 2026

Portfolio Manager and Manager

Brompton Funds Limited



Laura Lau, BAsc (Hons), CFA, DMS

Senior Vice President and Chief Investment Officer



Michael Clare, BComm (Hons), CPA CA, CFA

Vice President and Portfolio Manager

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds.

WHY INVEST?

- Actively managed portfolio of large-capitalization global dividend growth companies
- Global Dividend Growers have historically outperformed the MSCI World Index with lower volatility³
- Global equities provide opportunity for Canadians to diversify in sectors that are underrepresented in Canada
- Potential for capital appreciation for Class A shares due to leveraged exposure to the Portfolio
- Distributions:
 - Preferred Shares - Target of \$0.50 p.a. \$1.64 dividends declared since inception
 - Class A Shares - Target of \$1.20 p.a.⁴ \$3.95 distributions declared since inception

INVESTMENT OBJECTIVES

To provide holders of Preferred shares with fixed cumulative preferential quarterly cash distributions and to return the original issue price on the maturity date.

To provide holders of Class A shares with regular monthly cash distributions⁵ and the opportunity for capital appreciation.

PORTFOLIO

SEPTEMBER 30, 2021

Sector Allocation	% of NAV	Geographic Allocation	% of NAV
Financials	21.8%	United States	66.2%
Information Technology	21.5%	Canada	14.0%
Industrials	10.9%	France	7.4%
Consumer Discretionary	10.0%	Ireland	5.3%
Real Estate	9.0%	Netherlands	2.8%
Communication Services	7.7%	Great Britain	2.6%
Consumer Staples	7.5%	Switzerland	2.5%
Materials	4.9%	Cash & short-term inv.	0.3%
Healthcare	4.9%	Other net liabilities	(1.1%)
Energy	2.6%		
Cash & short-term inv.	0.3%		
Other net liabilities	(1.1%)		

Top 10 Holdings

Top 10 Holdings	% of NAV
Robert Half International Inc	3.0%
Morgan Stanley	3.0%
Tractor Supply Co	2.9%
ASML Holding NV	2.8%
Mid-America Apartment Communities Inc	2.8%
JPMorgan Chase & Co	2.8%
Motorola Solutions Inc	2.8%
Bank of America Corp	2.8%
Apple Inc	2.8%
Goldman Sachs Group Inc	2.8%
Total	28.5%

Annual Compound Returns ⁵	YTD	1-YR	3-Year	Since Inception
Global Dividend Growth Split Corp. - Class A	16.3%	33.3%	10.7%	10.9%
Global Dividend Growth Split Corp. - Preferred	3.8%	5.1%	5.1%	5.1%
Global Dividend Growth Split Corp. - Unit	10.1%	18.4%	7.8%	7.9%

⁽¹⁾ Distribution rate based on market price as at September 30, 2021. Source: TMX Group Inc.

⁽²⁾ On November 24, 2020, the Company announced an extension of the maturity date of the Class A and Preferred Shares to June 30, 2026.

⁽³⁾ Source: MSCI, for the 10-year period ending September 30, 2021. Global Dividend Growers are represented by the MSCI World Dividend Masters Index.

⁽⁴⁾ No distributions will be paid on the Class A Shares if (i) the distributions payable on the Preferred Shares are in arrears, or (ii) in respect of a cash distribution, after the payment of a cash distribution by the Company the NAV per Unit would be less than \$15.00.

⁽⁵⁾ Returns are for the periods ended September 30, 2021 and are unaudited. Inception date June 15, 2018. The table shows the past performance of the Fund. Past performance does not necessarily indicate how the Fund will perform in the future. The information shown is based on Net Asset Value per Class A share and per unit, or the redemption price per Preferred share and assumes that distributions made by the Fund on the Class A shares, Preferred shares and units in the periods shown were reinvested (at Net Asset Value per Class A share and per unit, or the redemption price per Preferred share) in additional Class A shares, units and Preferred shares of the Fund.

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You will usually pay brokerage fees to your dealer if you purchase or sell shares of the Fund on the Toronto Stock Exchange or other alternative Canadian trading system (an "exchange"). If the units are purchased or sold on an exchange, investors may pay more than the current net asset value when buying shares of the investment fund and may receive less than the current net asset value when selling them.

There are ongoing fees and expenses associated with owning shares of an investment fund. An investment fund must prepare disclosure documents that contain key information about the Fund. You can find more detailed information about the Fund in the public filings available at www.sedar.com. The indicated rates of return are the historical annual compounded total returns including changes in share value and reinvestment of all distributions and do not take into account certain fees such as redemption costs or income taxes payable by any securityholder that would have reduced returns. Investment Funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the Fund, to the future outlook of the Fund and anticipated events or results and may include statements regarding the future financial performance of the Fund. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.

BROMPTON
FUNDS

VALUE
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