

## FUND DETAILS

AS AT SEPTEMBER 30, 2021

TSX Symbol	PWI; PWI.PR.A
Total Assets	\$61 million
Inception Date	May 21, 2021
NAV - Class A	\$8.83
Redemption Price - Pref	\$10.00
Management Fee	0.75% p.a.
Pref Credit Rating	Pfd-3 (high)

### Distributions per share:

Class A	\$0.06667 monthly/\$0.80 p.a
Preferred	\$0.125 quarterly/\$0.50 p.a.

Distribution Rate (Class A)	8.0% <sup>1</sup>
Distribution Rate (Pref)	4.7% <sup>1</sup>
Maturity Date	May 29, 2026

## Portfolio Manager and Manager

Brompton Funds Limited



**Laura Lau, BAsc (Hons), CFA, DMS**

Senior Vice President and Chief Investment Officer



**Michael Clare, BComm (Hons), CPA CA, CFA**

Vice President and Portfolio Manager

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds.

## WHY INVEST?

- First ESG-integrated Split Corp. in Canada
- Actively managed global portfolio of sustainable power & infrastructure companies
- Opportunity to invest & participate in the multi-decade transition towards decarbonization and sustainability
- Potential for enhanced capital appreciation for Class A Shares due to leveraged exposure to the portfolio
- Distributions:
  - Preferred Shares - Target of \$0.50 p.a. \$0.18 dividends declared since inception
  - Class A Shares - Target of \$0.80 p.a.<sup>2</sup> \$0.27 distributions declared since inception

## INVESTMENT OBJECTIVES

To provide holders of Preferred shares with cumulative preferred distributions and to return the original issue price on the maturity date.

To provide holders of Class A shares with regular monthly cash distributions<sup>4</sup> and the opportunity for capital appreciation.

## PORTFOLIO

SEPTEMBER 30, 2021

Sector Allocation	% of NAV	Geographic Allocation	% of NAV
Industrials	36.7%	United States	41.5%
Utilities	21.5%	Canada	25.0%
Energy	13.4%	Ireland	7.0%
Materials	9.6%	Switzerland	6.2%
Real Estate	7.5%	Great Britain	5.8%
Communication Services	6.9%	Hong Kong	4.8%
Global Real Assets Dividend ETF	4.7%	Japan	3.9%
Cash & short-term inv.	0.6%	France	3.6%
Other net liabilities	(0.9%)	Germany	2.5%
		Cash & short-term inv.	0.6%
		Other net liabilities	(0.9%)

Top 10 Holdings	% of NAV
Brompton Global Real Assets Dividend ETF	4.7%
Hitachi Ltd	3.9%
SBA Communications Corp	3.9%
ONEOK Inc	3.7%
Exelon Corp	3.7%
Nextera Energy Inc	3.7%
Xylem Inc	3.6%
Johnson Controls International PLC	3.6%
Schneider Electric SE	3.6%
Prologis Inc	3.6%
<b>Total</b>	<b>38.0%</b>



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<sup>(1)</sup> Distribution rate based on market price as at September 30, 2021. Source: TMX Group Inc.

<sup>(2)</sup> No distributions will be paid on the Class A Shares if (i) the distributions payable on the Preferred Shares are in arrears, or (ii) in respect of a cash distribution, after the payment of a cash distribution by the Company the NAV per Unit would be less than \$15.00.

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*You will usually pay brokerage fees to your dealer if you purchase or sell shares of the Fund on the Toronto Stock Exchange or other alternative Canadian trading system (an "exchange"). If the shares are purchased or sold on an exchange, investors may pay more than the current net asset value when buying shares of the investment fund and may receive less than the current net asset value when selling them.*

*There are ongoing fees and expenses associated with owning shares of an investment fund. An investment fund must prepare disclosure documents that contain key information about the Fund. You can find more detailed information about the Fund in the public filings available at [www.sedar.com](http://www.sedar.com). Investment Funds are not guaranteed, their values change frequently and past performance may not be repeated.*

*Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the Fund, to the future outlook of the Fund and anticipated events or results and may include statements regarding the future financial performance of the Fund. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.*