

Symphony Floating Rate Senior Loan Fund
Summary of Investment Portfolio as at September 30, 2021

Total Net Asset Value \$ 59,001,719

Portfolio Composition	% of Net Asset Value
Senior Loan	
Technology	21.4%
Telecommunications	16.3%
Healthcare providers and services	15.3%
Consumer products	15.1%
Services	13.3%
Media	10.6%
Hotels, restaurants and leisure	10.0%
Oil and gas	7.7%
Other	7.5%
Healthcare equipment and supplies	5.9%
Material	5.9%
Electronics	3.5%
Pharmaceuticals	2.8%
Retail	1.9%
Banking and finance	1.6%
Total Senior Loans	138.8%
Fixed Income Investments	
Telecommunications	1.0%
Media	0.8%
Healthcare providers and services	0.7%
Other	0.5%
Oil and gas	0.2%
Total Fixed Income Investments	3.2%
Equities	
Oil and gas	5.6%
Services	1.6%
Consumer products	0.4%
Media	0.3%
Technology	0.3%
Total Equities	8.2%
Cash and short-term investments	3.3%
Total investment portfolio	153.5%
Currency forward contracts	(11.7%)
Other net liabilities	(41.8%)
Total net asset value	100.0%

Top 25 Holdings	% of Net Asset Value
Cash and short-term investments	3.3%
Fieldwood Energy LLC	3.1%
Intelsat Jackson Holdings S.A. Term Loan B-3	2.6%
Revlon Consumer Products Corporation Term Loan	2.6%
Syniverse Holdings, Inc. Term Loan Tranche C	2.6%
Clear Channel Outdoor Holdings, Inc. Term Loan B	2.4%
Life Time Fitness Inc. Term Loan B	2.3%
Intelsat Jackson Holdings S.A. Term Loan DIP	1.9%
Fieldwood Energy LLC Penny Warrants expiry August 27, 2028	1.9%
PAREXEL International Corp Term Loan B	1.8%
Onex Carestream Finance LP Second Lien Term Loan	1.8%
Petsmart Inc. Term Loan	1.7%
Fieldwood Energy LLC 2nd Lien Term Loan	1.7%
Greeneden U.S. Holdings II, LLC Term Loan B	1.7%
Syniverse Holdings, Inc. Second Lien Term Loan	1.6%
Caesars Resort Collection First Lien Term Loan	1.6%
DIRECTV Holdings LLC Term Loan B	1.6%
Mallinckrodt International Finance S.A. Term Loan B	1.5%
HD Supply Waterworks, Ltd. Refinanced Term Loan	1.5%
Cengage Learning Acquisitions, Inc. Term Loan	1.4%
Micro Focus International Plc Initial Term Loan	1.4%
Harvey Gulf International Marine, Inc. Exit Term Loan	1.4%
Equinox Holdings Inc. First Lien Term Loan B-1	1.3%
Vyair Medical, Inc. First Lien Term Loan	1.3%
Cequel Communications, LLC (Cebridge) Term Loan B-3	1.3%
Total	47.3%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.