BROMPTON FUNDS

Assistant Vice President, Research and Product Development

Brompton Funds is a leading independent manager of investment products designed primarily for Canadian retail investors and their Investment Advisors, that provides access to unique investment strategies across a broad range of asset classes. We currently manage over $2.6 billion in client assets, primarily in TSX-listed Closed-End Funds (CEFs) and ETFs. Our clients know us for our fairness and integrity in dealing with the investing public, our depth of knowledge in our product area, our attention to client service and our focus on investment performance. We have provided value-added products and service to our retail investment client base and their investment advisors for over 22 years.

The successful candidate will play a key role supporting the continuing growth of our ETF and CEF line-up, reporting jointly to and working closely with both our Head of ETFs and Head of CEFs. We are looking for a team player that is self-motivated, curious, flexible and energetic, with a strong work ethic.

We are offering a unique opportunity to gain meaningful experience across a wide range of functions in the Canadian ETF and CEF space. This is an apprenticeship for a senior role in the Canadian asset management industry.

Primary responsibilities include Research, Product Development and Product Management. You will interact closely with internal partners in Sales, Marketing, Portfolio Management, Finance and Operations, Executive Management, and with our Board of Directors. You will be part of a team that represents Brompton to external subadvisors, legal advisors, investment bankers, ETF analysts and traders, and investment advisors.

Position Overview

- Prepare comparative analysis reports for ETF and CEF competitors, using Morningstar Direct or Refinitiv Eikon, to support the sales process
- Research and write reports on investment themes related to our fund mandates for publication in support of our product suite; develop messaging on same for marketing campaigns and sales communications
- Develop content and commentary for marketing materials including presentations, fund primers, investment advisor-only materials
- Conduct research/analysis and develop investment themes, rationale and financial analysis to support new or existing products
- Assist in the origination and evaluation of ideas for development of new ETF and CEF initiatives, or for the repositioning of our existing funds as needed
- As a key deal team member, contribute to the development of offering documents for new ETF and Closed-End Fund IPOs and existing CEF secondary/overnight offerings
- Assist Head of CEFs or ETFs in co-ordinating deal teams for new or existing product launches; deal teams may include internal partners in Portfolio Management, Sales, Marketing, Finance and Operations, Executive & Board, and/or external partners including Subadvisors, Legal, Accounting, Investment Banking, ETF Trading

- Respond to Sales staff requests for research, commentary and analysis relating to our product suite for Investment Advisor clients or for escalated Investor Relations requests
- Developmental goal of presenting investment ideas and representing Brompton to investment advisors in group or branch meeting setting, at industry conferences, and to ETF analysts and retail or institutional investors/investment advisors.
- Monitor ETF trading and interact with ETF Market Makers to ensure that our clients have access to liquidity and attractive market prices for our ETF products
- Work with data providers to ensure Brompton ETFs and other investment funds have accurate data dissemination
- Assist in building relationships with ETF Analysts and dealer platform managers to gain market intelligence and ensure that Brompton products are well profiled in dealer recommended lists, model portfolios, and discretionary platforms such as RBC A+ and BMO Architect
- Carry out special ad hoc projects for business development purposes as required.

Desired Skills and Experience

- B.Comm., or other Bachelors degree with MBA, CFA or other similar education, training or work-related experience
- 3-5 years work experience in financial services preferred
- Strong research, technical and analytical skills are required
- Experience in a similar role in asset management, in IIROC investment advisory setting, sell-side investment banking or research, or asset management-focused consulting/legal/accounting advisory a plus.
- Working knowledge of investment principals, investment fund structure & taxation a plus
- Excellent communication and networking skills
- High proficiency in Microsoft Office programs, especially Microsoft Excel and Powerpoint
- Working knowledge of Morningstar Direct and Refinitiv Eikon/Datastream or equivalent
- Strong organizational skills and ability to multi-task or manage multiple projects and deliver to a tight deadline

Compensation: Base salary will be commensurate with qualifications and experience. We offer an attractive bonus program and full benefits.

Location: Downtown Toronto (Bay Street): in-office attendance required as re-opening permits

Position to be filled immediately.

Accommodations are available upon request for candidates taking part in all aspects of the selection process.

You will only be contacted if you have been selected for an interview.

Please apply for this role on LinkedIn.