

Brompton Flaherty & Crumrine Investment Grade Preferred ETF
Summary of Investment Portfolio as at September 30, 2022

Total Net Asset Value \$ 160,144,730

Portfolio Composition	% of Net Asset Value
Canada	
Preferred Securities:	
Bank	3.8%
Insurance	0.9%
	4.7%
Total Canada	4.7%
United States	
Preferred Securities:	
Bank	37.6%
Insurance	31.6%
Energy	12.9%
Utility	7.9%
Finance	3.0%
Communications	1.8%
Miscellaneous	0.5%
	95.3%
Corporate Debt:	
Insurance	4.7%
Energy	0.6%
Communications	0.3%
Bank	0.3%
	5.9%
Total United States	101.2%
Cash and short-term investments	0.3%
Total investment portfolio	106.2%
Currency forward contracts	(6.4%)
Other net assets	0.2%
Total Net Asset Value	100.0%

Top 25 Holdings	% of Net Asset Value
Delphi Financial Group, 6.095% due May 15, 2037	4.3%
Metlife Inc., 10.750% due August 01, 2039	3.6%
Liberty Mutual Insurance, 7.697% due October 15, 2097	3.5%
Partnerre Finance II, 5.407% due December 01, 2066	3.3%
HSBC Capital Funding LP, 10.176%	3.2%
Provident Financing TR I, 7.405% due March 15, 2038	3.2%
Enterprise Products Operating LP, 5.250% due August 16, 2077	2.9%
Energy Transfer LP, 7.600%	2.5%
Transcanada Pipelines Limited, 5.500% due September 15, 2079	2.5%
Royal Bank Of Canada, 4.500% due November 24, 2080	2.3%
Lloyds Banking Group PLC, 7.500%	2.3%
Enbridge Inc., 6.000% due January 15, 2077	2.2%
BNP Paribas, 7.375%	2.2%
Banco Santander, 7.500%	1.9%
Everest Reinsurance Holdings, 5.290% due May 15, 2037	1.8%
Liberty Mutual Group, 7.800% due March 15, 2037	1.7%
Banco Santander, 4.750%	1.7%
Reinsurance Group Of America, 5.750% due June 15, 2056	1.5%
Credit Agricole S.A., 7.875%	1.2%
Axis Specialty Finance, 4.900% due January 15, 2040	1.2%
Barclays PLC, 8.000%	1.2%
Lincoln National Corporation, 5.299% due May 17, 2066	1.2%
Arch Capital Group Ltd., 4.550%	1.1%
Athene Holding Ltd., 6.350%	1.1%
DCP Midstream LLC, 5.850% due May 21, 2043	1.0%
Total	54.6%

The investment portfolio may change due to ongoing portfolio transactions of the investment fund. Quarterly updates are available on the Fund's website at www.bromptongroup.com within 60 days of each quarter end.