

# Brompton Sustainable Real Assets Dividend ETF

TSX: **BREA**

## FUND PROFILE

AS AT JUNE 30, 2022



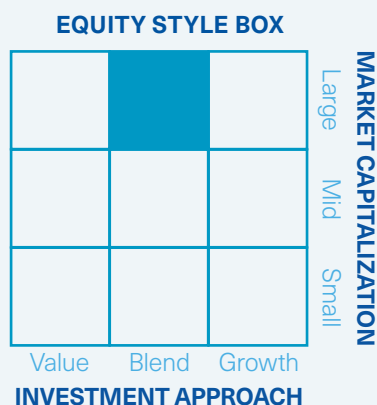
TSX Symbol	BREA
CUSIP	11223H1001
Inception Date	Apr. 30, 2020
NAV	\$22.70
Distribution Rate <sup>1</sup>	4.4%
Monthly Distribution	\$0.08333
Total Assets	\$7 million
Management Fee	0.75% p.a.
Management Style	Actively Managed
Manager/PM	Brompton Funds Limited
Reg. Account Eligibility?	Yes
Risk Rating	Medium

### This ETF is for investors who are:

- Seeking capital appreciation
- Seeking enhanced income and lower volatility through covered call strategies
- Comfortable with equity risk

ESG SCORE<sup>2</sup>

B+



## Overview

This ETF provides high monthly distributions and the opportunity for capital appreciation by investing in an actively managed portfolio of Global Real Assets companies (primarily real estate, utilities, infrastructure, resources), complemented by a proprietary covered call options program to enhance monthly income. Our Portfolio Management team first uses a top-down analysis to identify attractive sub-sectors. Rigorous fundamental analysis including the integration of ESG factors focuses the portfolio on well-positioned, large-capitalization Real Assets issuers. The Portfolio Managers then actively set the level of covered call writing with the goal of optimizing distributable cash and total returns, and reducing portfolio volatility.

## Investment Highlights: Global Real Assets

- Diversified Real Assets equities can offer better risk-adjusted returns compared to both the broad market or focused investments in Real Estate, Utilities, or Infrastructure.
- Real Assets companies have highly visible revenue streams that produce stable cash flows and consistent, attractive dividends.
- Real Assets equities growth is driven by continuing long-term trends including heightened investor focus on dividends, increasing government outsourcing, 5G rollout and cloud growth, urban intensification
- Brompton's global expertise can help navigate the challenges & opportunities available across Global Real Assets equities segments (Utilities, Infrastructure, Real Estate, Resources and related sectors).

## Portfolio: June 30, 2022

Sector Allocation <sup>3</sup>	% of NAV	Geographic Allocation <sup>3</sup>	% of NAV
Energy	25.1%	United States	39.3%
Utilities	23.8%	Canada	29.0%
Real Estate	19.2%	Great Britain	6.3%
Industrials	16.7%	France	5.4%
Communication Services	7.3%	Norway	5.0%
Materials	6.1%	Germany	4.2%
Cash & short-term inv.	3.7%	Spain	4.0%
Other net liabilities	(1.9%)	Cash & short-term inv.	3.7%
		Denmark	2.6%
		Ireland	2.4%
		Other net liabilities	(1.9%)

Top 10 Holdings	% of NAV
Canadian Natural Resources Ltd	6.1%
Exxon Mobil Corp	5.3%
Equinor ASA	5.0%
Brookfield Infrastructure Partners LP	4.7%
Williams Companies Inc	4.6%
Mid-America Apartment Communities Inc	4.4%
SBA Communications Corp	4.4%
Prologis Inc	4.3%
RWE AG	4.2%
Kinder Morgan Inc	4.1%
<b>Total</b>	<b>47.1%</b>



**Laura Lau**  
**BASc (Hons), CFA, DMS**  
 Chief Investment Officer



**Maggie Meng**  
**BComm, CPA, CGA, CFA**  
 Senior Investment Analyst

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds. Our funds are designed to address investors' cash flow requirements and to provide them with value-added diversification strategies. Since inception, Brompton has paid out over \$2.8 billion in distributions. For those investors that do not require the regular cash flow, our funds also offer commission-free distribution reinvestment plans for additional units in the funds. For the level of service provided, our funds are known for their low management fees and costs.

**Historical Performance: June 30, 2022**

Annual Compound Returns <sup>4</sup>	YTD	1-YR	Since Inception
Brompton Sustainable Real Assets Dividend ETF	(8.7%)	3.0%	10.8%

<sup>(1)</sup> Distribution rate based on June 30, 2022 closing market price. Source: Thomson Reuters.

<sup>(2)</sup> Source: Thomson Reuters as at June 30, 2022. Reflects the weighted average ESG Score of the companies held in the portfolio. The ESG Score is an overall score of a company based on the reported information in the environmental, social and corporate governance pillars. Letter grades range from D- for the lowest ESG scores to A+ for the highest scores. See [https://www.refinitiv.com/content/dam/marketing/en\\_us/documents/methodology/esg-scores-methodology.pdf](https://www.refinitiv.com/content/dam/marketing/en_us/documents/methodology/esg-scores-methodology.pdf) for more information.

<sup>(3)</sup> Totals may not equal 100% due to rounding.

<sup>(4)</sup> Returns are for the periods ended June 30, 2022 and are unaudited. Inception date April 30, 2020. The table shows the ETF's compound returns for each period indicated. Past performance does not necessarily indicate how the ETF will perform in the future. The information shown is based on Net Asset Value per unit and assumes that distributions made by the ETF on its units in the period shown were reinvested at Net Asset Value per unit in additional units of the ETF.

FunddataGrade Rating reflects performance as of June 30, 2022 and is subject to change monthly. The FundGrade rating system evaluates funds based on their risk-adjusted performance, measured by Sharpe Ratio, Sortino Ratio and Information Ratio. The score for each ratio is calculated individually, covering all time periods from 2 to 10 years. The scores are then equally weighted in calculating a monthly FundGrade rating. The FundGrade ratings are divided between five tranches from "A Grade" (superior performance) to "E Grade" (lower performance). The top 10% of funds earn an A Grade; the next 20% of funds earn a B Grade; the next 40% of funds earn a C Grade; the next 20% of funds receive a D Grade; and the lowest 10% of funds receive an E Grade. To be eligible, a fund must have received a FundGrade rating every month in the previous year. For more information, see [www.FundGradeAwards.com](http://www.FundGradeAwards.com). Although Funddata makes every effort to ensure the accuracy and reliability of the data contained herein, the accuracy is not guaranteed by Funddata.

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Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. Please read the prospectus before investing. The indicated rates of return are the historical annual compounded total returns including changes in unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. Exchange traded funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.

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