

## FUND PROFILE

AS AT AUGUST 31, 2022

<b>TSX Symbol</b>	TLF	TLFU
<b>Currency</b>	CAD Hedged	USD
<b>CUSIP</b>	878258102	11222A205
<b>Inception Date</b>	May. 20, 2011	Aug. 8, 2019
<b>NAV</b>	\$16.53	\$17.60
<b>Distrb. Rate<sup>1</sup></b>	6.9%	6.8%
<b>Monthly Distribution</b>	\$0.09583	\$0.10033
<b>Total Assets</b>	\$62 million	
<b>Management Fee</b>	0.75%	
<b>Management Style</b>	Actively Managed	
<b>Manager/PM</b>	Brompton Funds Limited	
<b>Reg. Account Eligibility</b>	Yes	
<b>Risk Rating</b>	Medium	

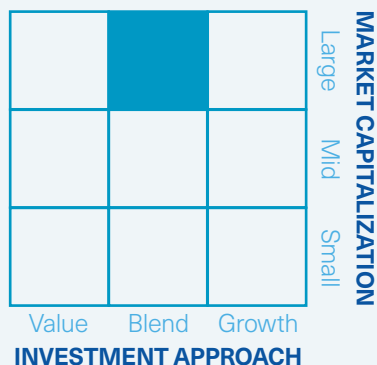
### This ETF is for investors who are:

- Seeking capital appreciation
- Seeking enhanced income and lower volatility through covered call strategies
- Comfortable with equity risk

ESG SCORE<sup>2</sup>

B+

### EQUITY STYLE BOX



## Overview

This ETF provides high monthly distributions and the opportunity for capital appreciation through an investment in an actively managed portfolio of large cap global Technology companies selected by Brompton, complemented by a proprietary covered call options program.

Our PM team first uses top-down analysis to identify attractive sub-sectors. Rigorous fundamental analysis focuses the portfolio on global Technology companies which offer a combination of growth and value characteristics. The PMs then actively set the level of covered call writing, with the goal of optimizing distributable cash and total

## Investment Highlights: Technology Sector

Tech sector is profitable, with minimal debt and significant free cash flow.

Tech is under-represented in Canada; ETF provides diversification benefits.

Long term trends are supportive of Technology equities:

- Technological Disruption: Emerging technologies have the potential to reshape the world
- Accelerating Adoption: New technologies are being adopted more quickly than in the past
- Demographics: Millennials will continue to fuel demand for services such as mobile applications, user experiences, social media/messaging and e-commerce
- Digitization: Manual/offline businesses converting to online, networked platforms and computer supported environments, enabling real-time decision making and more efficient operations

## Portfolio: August 31, 2022

Top 10 Holdings	% of NAV	Sector Allocation <sup>3</sup>	% of NAV
Microsoft Corp	7.2%	Application Software	21.1%
Fiserv Inc	6.8%	Systems Software	17.2%
Motorola Solutions Inc	6.7%	Data Processing & Services	13.1%
Automatic Data Processing Inc	6.2%	Semiconductor Equipment	12.0%
Synopsys Inc	6.1%	Semiconductors	7.9%
Cadence Design Systems Inc	5.9%	Communications Equipment	6.7%
Fortinet Inc	5.8%	Int. Media & Entertainment	5.6%
Alphabet Inc	5.6%	IT Consulting & Other Services	4.9%
Intuit Inc	5.1%	Technology Hardware	4.8%
Applied Materials Inc	4.9%	Electronic Equipment & Inst.	4.4%
<b>Total</b>	<b>60.3%</b>	Electronic Components	2.2%
		Technology Distributors	2.1%
		Cash & short-term inv.	1.0%
		Other net liabilities	(2.9%)

**Michael Clare****BComm (Hons), CPA, CA, CFA**Senior Vice President  
& Senior Portfolio Manager**Varun Choyah****M.Sc, MBA**Assistant Vice President  
Associate Portfolio Manager

Founded in 2000, Brompton is an experienced investment fund manager with income focused investment solutions including exchange-traded funds (ETFs) and other TSX traded investment funds. Our funds are designed to address investors' cash flow requirements and to provide them with value-added diversification strategies. Since inception, Brompton has paid out over \$3.0 billion in distributions. For those investors that do not require the regular cash flow, our funds also offer commission-free distribution reinvestment plans for additional units in the funds. For the level of service provided, our funds are known for their low management fees and costs.

**Historical Performance: August 31, 2022**

Annual Compound Returns <sup>4</sup>	YTD	1-YR	3-YR	5-YR	10-YR	S.I. TLF	S.I. TLF.U
Brompton Tech Leaders Income ETF (CAD Hedged)	(26.5%)	(20.5%)	13.2%	15.4%	13.8%	11.8%	-
Brompton Tech Leaders Income ETF (USD)	(25.5%)	(19.4%)	14.7%	-	-	-	15.3%

<sup>(1)</sup> Distribution rate is based on market price on August 31, 2022. Source: Thomson Reuters.

<sup>(2)</sup> Source: Thomson Reuters as at August 31, 2022. Reflects the weighted average ESG Score of the companies held in the portfolio. The ESG Score is an overall score of a company based on the reported information in the environmental, social and corporate governance pillars. Letter grades range from D- for the lowest ESG scores to A+ for the highest scores. See [https://www.refinitiv.com/content/dam/marketing/en\\_us/documents/methodology/esg-scores-methodology.pdf](https://www.refinitiv.com/content/dam/marketing/en_us/documents/methodology/esg-scores-methodology.pdf) for more information.

<sup>(3)</sup> Totals may not equal 100% due to rounding.

<sup>(4)</sup> Returns are for the periods ended August 31, 2022 and are unaudited. TLF Inception date May 20, 2011. TLF.U Inception date August 8, 2019. The table shows the ETF's compound return for each period indicated. Past performance does not necessarily indicate how the ETF will perform in the future. The information shown is based on Net Asset Value per unit and assumes that distributions made by the ETF on its units in the period shown were reinvested at Net Asset Value per unit in additional units of the ETF.

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Commissions, trailing commissions, management fees and expenses all may be associated with exchange-traded fund investments. Please read the prospectus before investing. The indicated rates of return are the historical annual compounded total returns including changes in unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any securityholder that would have reduced returns. Exchange-traded funds are not guaranteed, their values change frequently and past performance may not be repeated.

Certain statements contained in this document constitute forward-looking information within the meaning of Canadian securities laws. Forward-looking information may relate to matters disclosed in this document and to other matters identified in public filings relating to the ETF, to the future outlook of the ETF and anticipated events or results and may include statements regarding the future financial performance of the ETF. In some cases, forward-looking information can be identified by terms such as "may", "will", "should", "expect", "plan", "anticipate", "believe", "intend", "estimate", "predict", "potential", "continue" or other similar expressions concerning matters that are not historical facts. Actual results may vary from such forward-looking information. Investors should not place undue reliance on forward-looking statements. These forward-looking statements are made as of the date hereof and we assume no obligation to update or revise them to reflect new events or circumstances.

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