BBBB Brompton Wellington Square Investment Grade CLO ETF

FUND PROFILE
AS AT MAY 31, 2026

TSX Symbol	BBBB	BBBB.U
Currency	CAD Hedged	USD
CUSIP	11222J107	11222J115
NAV	$19.32	$19.55
Distribution	$0.10800/mo.	$0.10800/mo.

Inception Date	October 3, 2025
Management Fee	0.60% of NAV p.a.
Management Style	Actively Managed
Manager/PM	Brompton Funds Limited
Sub-Advisor	Wellington Square Advisors Inc.
Reg. Account Eligibility?	Yes
Risk Rating	Low to Medium

This ETF is for investors who are:

- Looking to receive regular distributions;
- Looking for exposure to primarily investment-grade CLOs; and
- Able to accept some variability of returns.

Overview

Brompton Wellington Square Investment Grade CLO ETF (BBBB) is designed to provide high monthly income and capital preservation through investment in an actively managed portfolio of primarily investment grade-rated CLOs.

CLOs will be selected by the Sub-advisor with a minimum of 75% of the portfolio invested in investment grade CLOs. The ETF may also invest up to 25% of its portfolio in CLOs rated BB+ to B-, provided that no more than 5% of the portfolio is invested in CLOs rated below BB-.

Investment Highlights

- Investment grade CLO bonds offer high credit quality, attractive yields, and diversification benefits
- High-quality portfolio – minimum 75% investment grade
- High monthly income – target of approx 7% annualized distribution[1]
- Experienced Sub-advisor: Wellington Square Advisors Inc.
- Low to Medium risk-rating (ETF Facts)
- Available in CAD-hedged and USD units
- Management fee: 0.60%

Portfolio[2]

Portfolio Statistics[3]	
Price	98.5
Current Yield	7.8%
Coupon	7.7%
Duration (years)	0.25

Rating Distribution[3]	% of Portfolio
BBB	16.4%
BBB-	63.4%
BB-	20.2%

Top 10 Holdings	% of NAV
AGL CLO 6 Ltd. 6.9752% due April 20, 2038	7.5%
Harriman Park CLO Ltd. 6.5752% due July 20, 2038	7.5%
Generate CLO 17 Ltd. 6.6636% due October 22, 2037	6.8%
Bain Capital Credit CLO 2021-2 Ltd. 6.7795% due July 16, 2034	5.7%
AGL CLO 11 Ltd. 9.9731% due October 15, 2038	5.6%
Janus Henderson AAA CLO ETF	5.1%
HalseyPoint CLO 7 Ltd. 8.3552% due July 20, 2038	4.8%
AGL CLO 24 Ltd. 10.5061% due March 31, 2038	4.7%
Generate CLO 19 Ltd. 7.9136% due April 22, 2036	3.9%
Regatta XVIII Funding Ltd. 6.2731% due April 15, 2038	3.9%
Total	**55.5%**

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Sub-Advisor and Manager

Sub-Advisor

Wellington Square Advisors Inc. is a Toronto based independent investment advisory with a long-term track record managing funds investing in CLOs, leveraged loans and corporate credit in the U.S., European and Canadian markets. Wellington Square's partners have backgrounds within CLO management and managing institutional funds for Canada's largest pension plans.

Jeff Sujitno
Portfolio Manager

Amar Dhanoya
Portfolio Manager

Manager

For over 25 years, Brompton has been providing unique, well-conceived investments for Canadians, with a focus on low management fees, performance driven diversification strategies and attractive income and growth solutions for various market cycles. Our funds are designed to address investors' cash flow requirements and to provide them with value-added diversification strategies. Since inception, Brompton has paid out over $4.0 billion in distributions.

(1) Based on initial issue price of $20.00.

(2) Totals may not equal 100% due to rounding.

(3) Portfolio statistics and rating distributions presented are based solely on the ETF's direct CLO investments

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VALUE
INTEGRITY
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THE FOUNDATION FOR EXCELLENCE

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